ADVANCES IN CARDINAL ARITHMETIC

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Annotated Content

§1 $I[\lambda]$ is quite large

[If $\operatorname{cf} \kappa = \kappa, \kappa^+ < \operatorname{cf} \lambda = \lambda$ then there is a stationary subset S of $\{\delta < \lambda : \operatorname{cf}(\delta) = \kappa\}$ in $I[\lambda]$. Moreover, we can find $\bar{C} = \langle C_\delta : \delta \in S \rangle$, C_δ a club of λ , $\operatorname{otp}(C_\delta) = \kappa$, guessing clubs and for each $\alpha < \lambda$ we have: $\{C_\delta \cap \alpha : \alpha \in \operatorname{nacc} C_\delta\}$ has cardinality $< \lambda$.]

§2 Measuring $\mathscr{S}_{<\kappa}(\lambda)$

[We prove that e.g. there is a stationary subset of $\mathscr{S}_{\leq\aleph_1}(\lambda)$ of cardinality $\mathrm{cf}(\mathscr{S}_{\leq\aleph_1}(\lambda),\subseteq)$.]

§3 Nice filters revisited

[We prove the existence of nice filters when instead being normal filters on ω_1 they are normal filters with larger domains, which can increase during a play. They can help us transfer situation on \aleph_1 -complete filters to normal ones].

§4 Ranks

[We reconsider ranks and niceness of normal filters, such that we can pass say from $pp_{\Gamma(\aleph_1)}(\mu)$ (where $cf\mu = \aleph_1$) to $pp_{normal}(\mu)$.]

- §5 More on ranks and higher objects
- §6 Hypotheses

[We consider some weakenings of G.C.H. and their consequences. Most have not been proved independent of ZFC.]

§1 $I[\lambda]$ is Quite Large and Guessing Clubs

On $I[\lambda]$ see [Sh 108], [Sh 88a], [Sh 351, §4] (but this section is self-contained; see Definition 1.1 and Claim 1.3 below). We shall prove that for regular κ , λ , such that $\kappa^+ < \lambda$, there is a stationary $S \subseteq \{\delta < \lambda : \text{cf}(\delta) = \kappa\}$ in $I[\lambda]$. We then investigate "guessing clubs" in (ZFC).

- **1.1 Definition.** For a regular uncountable cardinal λ , $I[\lambda]$ is the family of $A \subseteq \lambda$ such that $\{\delta \in A : \delta = \operatorname{cf}(\delta)\}$ is not stationary and for some $\langle \mathscr{P}_{\alpha} : \alpha < \lambda \rangle$ we have:
 - (a) \mathscr{P}_{α} is a family of $<\lambda$ subsets of α
 - (b) for every limit $\alpha \in A$ of cofinality $< \alpha$ there is $x \subseteq \alpha$, $\operatorname{otp}(x) < \alpha = \sup(x)$ such that $\zeta < \alpha \Rightarrow x \cap \zeta \in \{\mathscr{P}_{\gamma} : \gamma < \alpha\}$.
- 1.2 Observation. In Definition 1.1 we can weaken (b) to:

for some club E of x for every limit $\alpha \in A \cap E$ of cofinality $< \alpha \dots$

Proof. Just replace \mathscr{P}_{α} by $\{x \cap \alpha : x \in \bigcup \{\mathscr{P}_{\beta} : \beta \leq \min(E \setminus \alpha + 1)\}\}.$

We know (see [Sh 108], [Sh 88a] or below)

- **1.3 Claim.** Let $\lambda > \aleph_0$ be regular.
- 1) $A \in I[\lambda]$ iff (note: by (c) below the set of inaccessibles in A is not stationary and) there is $\langle C_{\alpha} : \alpha < \lambda \rangle$ such that:
 - (a) C_{α} is a closed subset of α
 - (b) if $\alpha^* \in \text{nacc}(C_\alpha)$ then $C_{\alpha^*} = C_\alpha \cap \alpha$ (nacc stands for "non-accumulation")
 - (c) for some club E of λ , for every $\delta \in A \cap E$, we have: $\operatorname{cf}(\delta) < \delta$ and $\delta = \sup(C_{\delta})$, and $\operatorname{cf}(\delta) = \operatorname{otp}(C_{\delta})$
 - (d) $\operatorname{nacc}(C_{\alpha})$ is a set of successor ordinals.
- 2) $I[\lambda]$ is a normal ideal.

Proof. 1) The "if" part:

Assume $\langle C_{\beta} : \beta < \lambda \rangle$ satisfy (a), (b), (c) with a club E for (c). For each limit $\alpha < \lambda$ choose a club e_{α} of order type $cf(\alpha)$. We define, for $\alpha < \lambda$:

$$\mathscr{P}_{\alpha} =: \{C_{\beta} : \beta \leq \alpha\} \cup \{e_{\beta} : \beta \leq \alpha\} \cup \{e_{\gamma} \cap \alpha : \gamma \leq \min(E \setminus (\alpha + 1))\}.$$

It is easy to check that $\langle \mathscr{P}_{\alpha} : \alpha < \lambda \rangle$ exemplify " $A \in I[\lambda]$ ".

The "only if" part:

Let $\bar{\mathscr{P}} = \langle \mathscr{P}_{\alpha} : \alpha < \lambda \rangle$ exemplify " $A \in I[\lambda]$ " (by Definition 1.1). Without loss of generality

(*) if
$$C \in \mathscr{P}_{\alpha}$$
, and $\zeta \in C$ then $C \setminus \zeta \in \mathscr{P}_{\alpha}$ and $C \cap \zeta \in \mathscr{P}_{\alpha}$

For each limit $\beta < \lambda$ let e_{β} be a club of β satisfying $\operatorname{otp}(e_{\beta}) = \operatorname{cf}(\beta)$ and $\operatorname{cf}(\beta) < \beta \Rightarrow \operatorname{cf}(\beta) < \min(e_{\beta})$. Let $\langle \gamma_i : i < \lambda \rangle$ be strictly increasing continuous, each γ_i a non-successor ordinal $< \lambda$, $\gamma_0 = 0$, and $\gamma_{i+1} - \gamma_i \geq \aleph_0 + |\bigcup_{\alpha < \gamma_i} \mathscr{P}_{\alpha}| + |\gamma_i|$

and
$$\gamma_i \in A \Rightarrow \operatorname{cf}(\gamma_i) < \gamma_i$$
.

(Why? Let E' be a club of λ such that $\gamma \in E \cap A \Rightarrow \operatorname{cf}(\gamma) < \gamma$, and then choose $\gamma_i \in E$ by induction on $i < \lambda$.)

Let
$$F_i$$
 be a one to one function from $(\bigcup_{\alpha < \gamma_i} \mathscr{P}_{\alpha}) \times \gamma_i$ into $\{\zeta + 1 : \gamma_i < \zeta + 1 < \gamma_{i+1}\}$.

Now we choose $C_{\alpha} \subseteq \alpha$ as follows. First, for $\aleph = 0$ let $C_{\alpha} = \emptyset$. Second, assume α is a successor ordinal, let $i(\alpha)$ be such that $\gamma_{i(\alpha)} < \alpha < \gamma_{i(\alpha)+1}$. If $\alpha \notin \operatorname{Rang}(F_{i(\alpha)})$, let $C_{\alpha} = \emptyset$. If $\alpha = F_{i(\alpha)}(x,\beta)$ hence necessarily $x \in \bigcup_{\epsilon \leq \gamma_{i(\alpha)}} \mathscr{P}_{\epsilon}, \beta < \gamma_{i(\alpha)}$ and x,β

are unique. Let C_{α} be the closure (in the order topology) of C_{α}^{-} , which is defined as:

$$\{F_j(x \cap \zeta, \beta) : \text{ the sequence } (j, \zeta, \beta) \text{ satisfies } (*)_{j,\zeta}^{x,\beta} \text{ below} \}$$
 where

$$\boxtimes_{i,\zeta}^{x,\beta}(i) \ \zeta \in x$$

- $(ii) \operatorname{otp}(x \cap \zeta) \in e_{\beta},$
- (iii) $j < i(\alpha)$ is minimal such that $x \cap \zeta \in \bigcup_{\epsilon \leq \gamma_j} \mathscr{P}_{\epsilon}$

(iv) if
$$\xi \in x \cap \zeta$$
, otp $(x \cap \xi) \in e_{\beta}$ then $(\exists j(1) < j)[x \cap \xi \in \bigcup_{\epsilon \le \gamma_{j(1)}} \mathscr{P}_{\epsilon}]$

$$(v) \beta < \min(x).$$

Third, for $\alpha < \lambda$ limit, choose C_{α} : if possible, $\operatorname{nacc}(C_{\alpha})$ is a set of successor ordinals, C_{α} is a club of α , $[\beta \in \operatorname{nacc}(C_{\alpha}) \Rightarrow C_{\beta} = \beta \cap C_{\alpha}]$; if this is impossible, let $C_{\delta} = \emptyset$. Lastly, let $C_{0} = \emptyset$ and let $E =: \{\gamma_{i} : i \text{ is a limit ordinal } < \lambda\}$. Now we can check the condition in 1.3(1).

Note that for α successor $C_{\alpha}^{-} = \text{nacc}(C_{\alpha})$.

Clause (a): C_{α} a closed subset of α .

If $\alpha = 0$ trivial as $C_{\alpha} = \emptyset$ and if α is a limit ordinal, this is immediate by the definition. So let α be a successor ordinal, hence, by the choice of $\langle \gamma_i : i < \lambda \rangle$ as an increasing continuous sequence of nonsuccessor ordinals with $\gamma_0 = 0$, clearly $i(\alpha)$ is well defined, $\gamma_{i(\alpha)} < \alpha < \gamma_{i(\alpha)+1}$. Now if $\alpha \notin \text{Rang}(F_{i(\alpha)})$ then $C_{\alpha} = \emptyset$ and we are done so for some x, β we have $\alpha = F_{i(\alpha)}(x, \beta)$ hence necessarily $x \in \bigcup_{\epsilon \leq \gamma_{i(\alpha)}} \mathscr{P}_{\epsilon}$ and

 $\beta < \gamma_{i(\alpha)}$. By the definition of C_{α} (the closure in the order topology on α , of the set of C_{α}^- i.e. the set of $F_j(x \cap \zeta, \beta)$ for the pair (j, ζ) satisfying $\boxtimes_{j,\zeta}^{x,\beta}$ it suffices to show $C_{\alpha}^- \subseteq \alpha$, i.e.

(*) if the pair (j,ζ) satisfies $\boxtimes_{j,\zeta}^{x,beta}$ then $F_j(x\cap\zeta,\beta)<\alpha$.

So assume (j,ζ) satisfies $\boxtimes_{j,\zeta}^{x,\beta}$ but by clause (iii) we know that $j < i(\alpha)$ and so $\operatorname{Rang}(F_j) \subseteq \gamma_{j+1} \subseteq \gamma_{i(\alpha)} < \alpha$ as required.

<u>Clause (b)</u>: If $\alpha^* \in \text{nacc}(C_\alpha)$ then $C_{\alpha^*} = C_\alpha \cap \alpha^*$.

If it is enough to show $C_{\alpha^*}^- = \alpha^* \cap C_{\alpha}^-$ and as $C_{\alpha}^- = \text{nacc}(C_{\alpha})$, we have $\alpha^* \in C_{\alpha}^-$. As $\alpha^* \in C_{\alpha}^-$ necessarily for some ζ, j satisfying $\boxtimes_{j,\zeta}^{x,\beta}$ we have $\alpha^* = F_j(x \cap \zeta, \beta)$. By the choice of F_j necessarily α^* is a successor ordinal and $\gamma_j < \alpha^* < \gamma_{j+1}$.

Now any member $\alpha(1)$ of $\alpha^* \cap C_{\alpha}^-$ has the form $F_{j(1)}(x \cap \zeta(1), \beta)$ with $j(1), \zeta(1)$ satisfying $\boxtimes_{j,\zeta}^{x,\beta}$; clearly $\gamma_{j(1)} < \alpha(1) = F_{j(*)}(x \cap \zeta(1), \beta) < \gamma_{j(1)+1}$ and $\gamma_j < \alpha^* = F_j(x \cap \zeta, \beta) < \gamma_{j+1}$. But $\alpha(1) < \alpha^*$ (being in $\alpha^* \cap C_{\alpha}^-$) so necessarily $j(1) + 1 \leq j$. So $j(1), \zeta(1)$ satisfy (i) - (v) with x replaced by $x \cap \zeta$, i.e., satisfy $\boxtimes_{j,\zeta}^{x,\beta}$; recall by $\alpha^* = F_j(x \cap \zeta, \beta)$, so $F_{j(x)}(x \cap \zeta(1), \beta) \in C_{\alpha^*}^-$. So $\alpha^* \cap C_{\alpha}^- \subseteq C_{\alpha^*}^-$; similarly $C_{\alpha^*}^- \subseteq \alpha^* \cap C_{\alpha}^-$, so we get the desired equality.

<u>Clause (c)</u>: We shall show that $E = \{\gamma_i : i \text{ is a limit ordinal } < \lambda\}$ is as required in closed (c).

Clearly E is a club of λ . So assume that $\delta \in A \cap E$ we should prove: $\operatorname{cf}(\delta) < \delta, \delta = \sup(C_{\delta}), \operatorname{cf}(\delta) = \operatorname{otp}(C_{\delta}).$

Now $\delta \in E \cap A \Rightarrow \delta > \operatorname{cf}(\delta)$ holds as we assume $\gamma_i \in A \Rightarrow \operatorname{cf}(\gamma_i) < \gamma_i$. As $\delta \in E$, by E's definition for some limit ordinal i(*) we have $\delta = \gamma_{i(*)}$. By the choice of C_{δ} it is enough to find a set C closed unbounded in δ of order type $\operatorname{cf}(\delta)$ such that $\alpha \in \operatorname{nacc}(C) \Rightarrow \alpha$ successor & $C_{\alpha} = C \cap \alpha$.

By the choice of $\bar{\mathscr{P}}$, for some $x \subseteq \delta$, $\operatorname{otp}(x) < \delta = \sup(x)$ and $\bigwedge_{\zeta < \delta} x \cap \zeta \in \bigcup_{\gamma < \delta} \mathscr{P}_{\gamma}$. By (*) above also $\xi \in x$ & $\bar{S} \in x \setminus \xi \Rightarrow x \cap \zeta \setminus \xi \in \bigcup_{\gamma < \delta} \mathscr{P}_{\gamma}$ so without loss of

generality otp(x) < Min(x). Let $\beta = otp(x)$, so we know that β is a limit ordinal, moreover $cf(\beta) = cf(\delta)$. Remember e_{β} is a club of β of order type $cf(\beta)$ which is $cf(\delta)$. Let

$$y =: \{ \zeta \in x : \operatorname{otp}(x \cap \zeta) \in e_{\beta} \}.$$

Clearly y is a subset of x of order type $otp(e_{\beta}) = cf(\delta)$. Define $h: y \to i(*)$ by $h(\zeta) = \text{Min}\{j : x \cap \zeta \in \bigcup \mathscr{P}_{\epsilon}\}, \text{ so by } (*) \text{ we know that } h \text{ is non-decreasing, and } j \in \{j : j \in J\}$

by the choice of x, $\bigwedge \gamma_{h(\zeta)} < \delta$, equivalently $\bigwedge h(\zeta) < i(*)$.

Let $z = \{\zeta \in y : for \text{ every } \xi \in y \cap \zeta \text{ we have } h(\xi) < h(\zeta)\}$. Let $C^- = \{\zeta \in y : for \text{ every } \xi \in y \cap \zeta \text{ we have } h(\xi) < h(\zeta)\}$. $\{F_{h(\zeta)}(x\cap\zeta,\beta):\zeta\in z\};$ it satisfies: $C^-\subseteq\delta=\sup^{\alpha}\delta_{\alpha}$ and it is easy to check, as in the proof of clause (c) that $[\alpha \in C^- \Rightarrow C_\alpha^- = C^- \cap \alpha]$. So by the choice of C^- its closure in δ is as required.

Clause (d): $\operatorname{nacc}(C_{\alpha})$ is a set of successor ordinals. Check.

Remark. 1) We could also strengthen (*) to make $z \cap \zeta \in \mathscr{P}_{h(\zeta)}$.

- 2) By Definition 1.1 we know that $I[\lambda]$ is an ideal; by 1.3(1) we know that $I[\lambda]$ includes the ideal of non-stationary subsets of λ . By the last phrase and Definition 1.1, clearly $I[\lambda]$ is normal. $\square_{1.3}$
- **1.4 Claim.** If κ, λ are regular, $S \subseteq \{\delta < \lambda : \mathrm{cf}(\delta) = \kappa\}, S \in I[\lambda], S$ stationary, $\kappa^+ < \lambda \text{ then we can find } \bar{\mathscr{P}} = \langle \mathscr{P}_{\alpha} : \alpha < \lambda \rangle \text{ such that for } \delta(*) =: \kappa \text{ we have:}$
- $\bigoplus_{\mathscr{P}_S}^{\lambda,\delta(*)}(i)$ \mathscr{P}_{α} is a family of closed subsets of $\alpha, |\mathscr{P}_{\alpha}| < \lambda$
 - (ii) $\operatorname{otp}(C) \leq \delta(*)$ for $C \in \bigcup_{\alpha} \mathscr{P}_{\alpha}$
 - (iii) for some club E of λ , we have: $[\alpha \notin E \Rightarrow \mathscr{P}_{\alpha} = \emptyset]$ and $[\alpha \in E \Rightarrow (\forall C \in \mathscr{P}_{\alpha})(\operatorname{otp}(C) \leq \delta(*))]$ $[\alpha \in E \setminus (S \cap \operatorname{acc}(E)) \Rightarrow (\forall C \in \mathscr{P}_{\alpha})[\operatorname{otp}(C) < \delta(*)]$ $[\alpha \in S \cap \operatorname{acc}(E) \Rightarrow (\exists ! C \in \mathscr{P}_{\alpha})(\operatorname{otp}(C) = \delta(*))]$ $[\alpha \in S \cap \operatorname{acc}(E) \& C \in \mathscr{P}_{\alpha} \& \operatorname{otp}(C) = \delta(*) \Rightarrow \alpha = \sup(C))]$

- (iv) $C \in \mathscr{P}_{\alpha} \& \beta \in \operatorname{nacc}(C) \Rightarrow \beta \cap C \in \mathscr{P}_{\beta}$
- (v) for any club E' of λ for some $\delta \in S \cap E'$ and $C \in \mathscr{P}_{\delta}$ we have $C \subseteq E'$ & $\operatorname{otp}(C) = \delta(*)$.

Proof. Let $\langle C_{\alpha} : \alpha < \lambda \rangle$ witness " $S \in I[\lambda]$ " be as in 1.3(1); without loss of generality $\operatorname{otp}(C_{\alpha}) \leq \delta(*)$. For any club E, consisting of limit ordinals for simplicity, let us define \mathscr{P}_E^{α} by induction on $\alpha < \lambda$:

$$\mathscr{P}_{E}^{\alpha} =: \{ \alpha \cap g\ell(C_{\beta}, E) : \alpha \in E \text{ and } \alpha \leq \beta < \text{Min}[E \setminus (\alpha + 1)] \}$$
$$\cup \{ C \cup \{ \beta \} : \beta \in E \cap \alpha, C \in \mathscr{P}_{E}^{\beta} \text{ and } \text{otp}(C) < \delta(*) \}$$

where

$$g\ell(C_{\beta}, E) =: \{ \sup(E \cap (\gamma + 1)) : \gamma \in C_{\beta} \text{ and } \gamma > \min(E) \}.$$

Note that $|\mathscr{P}_E^{\alpha}| \leq |\operatorname{Min}(E \setminus (\alpha + 1))| < \lambda$.

We can prove that for some club E of λ the sequence $\langle \mathscr{P}_E^{\alpha} : \alpha < \lambda \rangle$ is as required except possibly clause (v) which can be corrected gotten by a right of E (just by trying successively κ^+ clubs E_{ζ} (for $\zeta < \kappa^+$) decreasing with ζ , see [Sh 365]). Note that clause (iv) guaranteed by demanding E to consist of limit ordinals only and the second set in the union defining \mathscr{P}_E^{α} .

The following lemma gives sufficient condition for the existence of "quite large" stationary sets in $I[\lambda]$ of almost any fixed cofinality.

1.5 Lemma. Suppose

- (i) $\lambda > \kappa > \aleph_0, \lambda$ and κ are regular
- (ii) $\bar{\mathscr{P}} = \langle \mathscr{P}_{\alpha} : \alpha < \kappa \rangle$, \mathscr{P}_{α} a family of $< \lambda$ closed subsets of α
- (iii) $I_{\mathscr{P}} =: \{ S \subseteq \kappa : \text{for some club } E \text{ of } \kappa \text{ for no } \delta \in S \cap E \text{ is there a club } C \text{ of } \delta, \text{ such that } C \subseteq E \text{ and } [\alpha \in \text{nacc}(C) \Rightarrow C \cap \alpha \in \bigcup_{\beta < \alpha} \mathscr{P}_{\beta}] \} \text{ is a proper ideal on } \kappa.$

<u>Then</u> there is $S^* \in I[\lambda]$ such that for stationarily many $\delta < \lambda$ of cofinality $\kappa, S^* \cap \delta$ is stationary in δ , moreover for some club E of δ of order type κ

$$\{\operatorname{otp}(\alpha \cap E) : \alpha \in E \backslash S^*\} \in I_{\mathscr{P}}.$$

1.6 Remark. 1) The "for stationarily many" in the conclusion can be strengthened to: a set whose complement is in the ideal defined in [Sh 371, §2].

2) So if $\kappa^{\sigma} < \lambda$ then we can have $\{i < \kappa : cf(i) = \sigma\} \in I_{\bar{\mathscr{P}}}$.

Proof. Let χ be regular large enough, N^* be an elementary submodel of $(\mathcal{H}(\chi), \in ,<^*_{\chi})$ of cardinality λ such that $(\lambda+1)\subseteq N^*$, $\bar{\mathscr{P}}\in N$. Let $\bar{C}=\langle C_i:i<\lambda\rangle$ list $N^*\cap\{A\subseteq\lambda:|A|<\kappa\}$ and let

$$S^* = \{\delta < \lambda : \operatorname{cf}(\delta) < \kappa \text{ and for some } A \subseteq \delta \text{ satisfying } \delta = \sup(A), \text{ we have } \operatorname{otp}(A) < \kappa \text{ and } (\forall \alpha < \delta)[A \cap \alpha \in \{C_i : i < \delta\}]\}.$$

Clearly $S^* \in I[\lambda]$; so we should only find enough $\delta < \lambda$ of cofinality κ as required in the conclusion of 1.5. So let E^* be a club of λ and we shall prove that such $\delta \in E^*$ exists. We can choose M_{ζ} by induction on $\zeta \leq \kappa$ such that:

- (a) $M_{\zeta} \prec (\mathcal{H}(\chi), \in, <^*_{\chi})$
- (b) $||M_{\zeta}|| < \lambda, M_{\zeta} \cap \lambda$ an ordinal
- (c) M_{ζ} is increasing continuous
- (d) $N, \kappa, \bar{\mathscr{P}}, \bar{C}, E^*$ belongs to M_0
- (e) $\langle M_{\epsilon} : \epsilon \leq \zeta \rangle \in M_{\zeta+1}$.

Let $\delta_{\zeta} = \sup(M_{\zeta} \cap \lambda)$, clearly $\delta_{\zeta} \in E^*$ for every $\zeta \leq \kappa$ and $\langle \delta_{\zeta} : \zeta \leq \kappa \rangle$ is a (strictly) increasing continuous, so $\delta =: \delta_{\kappa}$ has cofinality κ . Hence there is a (strictly) increasing continuous sequence $\langle \alpha_{\zeta} : \zeta < \kappa \rangle \in N^*$ with limit δ , and clearly $E = \{\zeta < \kappa : \alpha_{\zeta} = \delta_{\zeta} \text{ and } \zeta \text{ is a limit ordinal} \}$ is a club of κ . We know that

$$T=:\{\zeta<\kappa: \zeta\in E \text{ and for some club } C \text{ of } \zeta,C\subseteq E \text{ and } \bigcap_{\epsilon<\zeta}[C\cap\epsilon\in\bigcup_{\xi<\zeta}\mathscr{P}_{\xi}]\}.$$

is stationary; moreover, $\kappa \backslash T \in I_{\bar{\mathscr{P}}}$ (see assumption (iii)) and clearly $T \subseteq E$. Clearly it suffices to show

(*)
$$\zeta \in T \Rightarrow \delta_{\zeta} \in S^*$$
.

Suppose $\zeta \in T$, so there is C, a club of ζ such that $C \subseteq E$ and $\bigwedge_{\epsilon < \zeta} [C \cap \epsilon \in \bigcup_{\xi < \zeta} \mathscr{P}_{\xi}]$. Let $C^* = \{\delta_{\epsilon} : \epsilon \in C\}$, so C^* is a club of δ_{ζ} of order type $\leq \zeta < \kappa$ (which $is < \delta_0 \leq \delta_{\zeta}$). It suffices to show for $\xi \in C$ that $\{\delta_{\epsilon} : \epsilon \in \xi \cap C\} \in \{C_i : i < \delta_{\zeta}\}$. For this end we shall show

- $(\alpha) \{\delta_{\epsilon} : \epsilon \in C \cap \xi\} \in \{C_i : i < \lambda\}$
- $(\beta) \ \{\delta_{\epsilon} : \epsilon \in C \cap \xi\} \in M_{\xi+1}.$

This suffices as $\langle C_i : i < \lambda \rangle \in M_0 \prec M_{\xi+1}$ and $M_{\xi+1} \cap \{C_i : i < \lambda\} = \{C_i : i \in \lambda \cap M_{\xi+1}\} = \{C_i : i < \delta_{\xi+1}\}.$

<u>Proof of (\alpha)</u>. Remember $\langle \alpha_{\epsilon} : \epsilon < \kappa \rangle \in N^*$. Also $\bar{\mathscr{P}} = \langle \mathscr{P}_{\epsilon} : \epsilon < \kappa \rangle \in N^*$ hence $\bigcup_{\epsilon < \kappa} \mathscr{P}_{\epsilon} \subseteq N^*$ (as $\kappa < \lambda, |\mathscr{P}_{\epsilon}| < \lambda, \lambda + 1 \subseteq N, \bar{\mathscr{P}} \in N^*$ so now for $\xi \in C$ we have $C \cap \xi \in \bigcup_{\epsilon < \kappa} \mathscr{P}_{\epsilon}$; hence $C \cap \xi \in N^*$. Together $\{\alpha_{\epsilon} : \epsilon \in \xi \cap C\} \in N^*$; as $\epsilon \in C \Rightarrow \epsilon \in E \Rightarrow \alpha_{\epsilon} = \delta_{\epsilon}$ (as $C \subseteq E$ and the definition of E), and the definition of $C_i : i < \lambda$, we are done.

<u>Proof of (β) </u>. We know $\bar{\mathscr{P}} \in M_0$; as $|\mathscr{P}_{\epsilon}| < \lambda, \kappa < \lambda$ clearly $|\bigcup_{\epsilon < \kappa} \mathscr{P}_{\epsilon}| < \lambda$ so as $M_{\epsilon} \cap \lambda$ is an ordinal, clearly $\bigcup_{\epsilon < \kappa} \mathscr{P}_{\epsilon} \subseteq M_0$. So for $\epsilon < \zeta$ we have $C \cap \epsilon \in \bigcup_{\gamma < \zeta} \mathscr{P}_{\gamma} \subseteq M_0 \subseteq M_{\xi+1}$. As $\langle M_i : i \leq \xi \rangle \in M_{\xi+1}$ clearly $\langle \delta_i : i \leq \xi \rangle \in M_{\xi+1}$ hence by the previous sentence also $\langle \delta_i : i \in C \cap \xi \rangle \in M_{\xi+1}$, as required. $\Box_{1.5}$

1.7 Conclusion. If κ , λ are regular, $\kappa^+ < \lambda$ then there is a stationary $S \subseteq \{\delta < \lambda : \text{cf}(\delta) = \kappa\}$ in $I[\lambda]$.

Proof. If $\lambda = \kappa^{++}$ - use [Sh 351, 4.1]. So assume $\lambda > \kappa^{++}$. By [Sh 351, 4.1] the pair (κ, κ^{++}) satisfies the assumption of 1.4 for $S = \{\delta < \kappa^{++} : \operatorname{cf}(\delta) = \kappa\}$; (i.e. κ , λ there stands for κ , κ^{++} here). Hence the conclusion of 1.4 holds for some $\bar{\mathscr{P}} = \langle \mathscr{P}_{\alpha} : \alpha < \kappa^{++} \rangle$, $|\mathscr{P}_{\alpha}| < \kappa^{++}$. Now apply 1.5 with (κ^{++}, λ) here standing for (κ, λ) there (we have just proved $I_{\bar{\mathscr{P}}}$ is a proper ideal, so assumption (ii) holds). Note:

(*)
$$\{\delta < \kappa^{++} : \operatorname{cf}(\delta) = \kappa\} \notin I_{\bar{\mathscr{P}}}.$$

Now the conclusion of 1.5 (see the moreover and choice of $\bar{\mathscr{P}}$ i.e. (*)) gives the desired conclusion.

1.8 Conclusion. If $\lambda > \kappa$ are uncountable regular, $\kappa^+ < \lambda$, then for some stationary $S \subseteq \{\delta < \lambda : \operatorname{cf}(\delta) = \kappa\}$ and some $\bar{\mathscr{P}} = \langle \mathscr{P}_{\alpha} : \alpha < \lambda \rangle$ we have: $\bigoplus_{\mathscr{P},S}^{\lambda,\kappa}$ from the conclusion of 1.4 holds.

Proof. As κ is regular apply 1.7 and then 1.4.

 $\square_{1.8}$

Now 1.8 was a statement I have long wanted to know, still sometimes we want to have " $C_{\delta} \subseteq E$, otp $(C) = \delta(*)$ ", $\delta(*)$ not a regular cardinal. We shall deal with such problems.

1.9 Claim. Suppose

- (i) $\lambda > \kappa > \aleph_0, \lambda$ and κ are regular cardinals
- (ii) $\bar{\mathscr{P}}_{\ell} = \langle \mathscr{P}_{\ell,\alpha} : \alpha < \kappa \rangle$ for $\ell = 1, 2$, where $\mathscr{P}_{1,\alpha}$ is a family of $< \lambda$ closed subsets of α , $\mathscr{P}_{2,\alpha}$ is a family of $\leq \lambda$ clubs of α and $[C \in \mathscr{P}_{2,\alpha} \& \beta \in C \Rightarrow C \cap \beta \in \bigcup_{\gamma < \alpha} \mathscr{P}_{1,\gamma}]$
- (iii) $I_{\overline{\mathscr{P}}_1,\overline{\mathscr{P}}_2} =: \{S \subseteq \kappa : \text{ for some club } E \text{ of } \kappa \text{ for no } \delta \in S \cap E \text{ is there } C \in \mathscr{P}_{2,\alpha}, C \subseteq E\} \text{ is a proper ideal on } \kappa.$

<u>Then</u> we can find $\bar{\mathscr{P}}_{\ell}^* = \langle \mathscr{P}_{\ell,\alpha}^* : \alpha < \lambda \rangle$ for $\ell = 1, 2$ such that:

- (A) $\mathscr{P}_{1,\alpha}^*$ is a family of $<\lambda$ closed subsets of α
- $(B) \ \beta \in \mathrm{nacc}(C) \ \& \ C \in \mathscr{P}^*_{1,\alpha} \Rightarrow C \cap \beta \in \mathscr{P}^*_{1,\beta}$
- (C) $\mathscr{P}_{2,\delta}^*$ is a family of $\leq \lambda$ clubs of δ (for δ limit $< \lambda$ such that) $[\beta \in \text{nacc}(C) \& C \in \mathscr{P}_{2,\delta}^* \Rightarrow C \cap \beta \in \mathscr{P}_{1,\beta}^*]$
- (D) for every club E of λ for some strictly increasing continuous sequence $\langle \delta_{\zeta} : \zeta \leq \kappa \rangle$ of ordinals $< \lambda$ we have $\{\zeta < \kappa : \zeta \text{ limit, and for some } C \in \mathscr{P}_{2,\zeta} \text{ we have:}$ $\{\delta_{\epsilon} : \epsilon \in C\} \in \mathscr{P}_{2,\delta_{\zeta}}^{*} \text{ (hence } [\xi \in \text{nacc}(C) \Rightarrow \{\delta_{\epsilon} : \epsilon \in C \cap \xi\} \in \mathscr{P}_{1,\delta_{\xi}}^{*}]\} \equiv \kappa \mod I_{\mathscr{P}_{1},\mathscr{P}_{2}}$
- (E) we have e_{δ} a club of δ of order type $\operatorname{cf}(\delta)$ for any limit $\delta < \lambda$; such that for any $C \in \bigcup_{\alpha < \lambda} \mathscr{P}_{2,\alpha}^*$ for some $\delta < \lambda, \operatorname{cf}(\delta) = \kappa$ and $C' \in \bigcup_{\beta < \kappa} \mathscr{P}_{2,\beta}$ we have $C = \{ \gamma \in e_{\delta} : \operatorname{otp}(e_{\delta} \cap \gamma) \in C' \}.$

Proof. Same proof as 1.5. (Note that without loss of generality $[C \in \mathscr{P}_{1,\alpha} \& \beta < \alpha < \kappa \Rightarrow C \cap \beta \in \mathscr{P}_{1,\beta}]$).

1.10 Conclusion. If $\delta(*)$ is a limit ordinal and $\lambda = \operatorname{cf}(\lambda) > |\delta(*)|^+$ then we can find $\bar{\mathscr{P}}_{\ell}^* = \langle \mathscr{P}_{\ell,\alpha}^* : \alpha < \lambda \rangle$ for $\ell = 1, 2$ and stationary $S \subseteq \{\delta < \lambda : \operatorname{cf}(\delta) = \operatorname{cf}(\delta(*))\}$ such that:

- $\bigoplus_{\bar{\mathscr{P}}_{1}^{*},\bar{\mathscr{P}}_{2}^{*}}^{\lambda,\delta(*)}$ (A) $\mathscr{P}_{1,\alpha}^{*}$ is a family of $<\lambda$ closed subsets of α each of order type $<\delta(*)$
 - (B) $\beta \in \operatorname{nacc}(C) \& C \in \mathscr{P}_{1,\alpha}^* \Rightarrow C \cap \beta \in \mathscr{P}_{1,\beta}^*$
 - (C) $\mathscr{P}_{2,\delta}^*$ is a family of $\leq \lambda$ clubs of δ (yes, maybe $= \lambda$) of order type $\delta(*)$, and $[\beta \in \text{nacc}(C) \& C \in \mathscr{P}_{2,\delta}^* \Rightarrow C \cap \beta \in \mathscr{P}_{1,\beta}^*]$
 - (D) for every club E of λ for some $\delta \in E \cap S$, $\mathrm{cf}(\delta) = \mathrm{cf}(\delta(*))$ and there is $C \in \mathscr{P}_{2,\beta}^*$ such that $C \subseteq E$.

Proof. If $\lambda = |\delta(*)|^{++}$ (or any successor of regulars) use [Sh:e, ChIII,6.4](2) or [Sh 365, 2.14](2)((c)+(d)).

If $\lambda > |\delta(*)|^{++}$ let $\kappa = |\delta(*)|^{++}$ and let $S_1 = \{\delta < \kappa^{++} : \operatorname{cf}(\delta) = \operatorname{cf}(\delta(*))\}$; applying the previous sentence we get $\bar{\mathscr{P}}_1^*$, $\bar{\mathscr{P}}_2^*$ satisfying $\bigoplus_{\bar{\mathscr{P}}_1^*, \bar{\mathscr{P}}_2^*, S_1}^{*++}$, hence satisfying the assumption of 1.9 so we can apply 1.9.

1.11 Definition. $^+\oplus_{\bar{\mathscr{P}}_1,\bar{\mathscr{P}}_{2,S}}^{\lambda,\delta(*)}$ is defined as in 1.10 except that we replace (C) by $(C)^+$ $\mathscr{P}_{2,\delta}^*$ is a family of $<\lambda$ clubs of δ of order type $\delta(*)$.

1.12 Remark. Note that if $\mathscr{P}_{\alpha} = \mathscr{P}_{1,\alpha} \cup \mathscr{P}_{2,\alpha}, \ |\mathscr{P}_{2,\alpha}| \leq 1, \ \mathscr{P}_{1,\alpha} = \{C \in \mathscr{P}_{\alpha} : \operatorname{otp}(C) < \delta(*)\}, \mathscr{P}_{2,\alpha} = \{C \in \mathscr{P}_{\alpha} : \operatorname{otp}(C) = \delta(*)\} \text{ then } ^{+} \oplus_{\bar{\mathscr{P}}_{1},\bar{\mathscr{P}}_{2,S}}^{\lambda,\delta(*)} \Leftrightarrow \oplus_{\bar{\mathscr{P}}_{S}}^{\lambda,\delta(*)} \operatorname{mod}.$

1.13 Claim. Suppose $\lambda = \operatorname{cf}(\lambda) > |\delta(*)|^+$, $\delta(*)$ a limit ordinal, additively indecomposable (i.e. $\alpha < \delta(*) \Rightarrow \alpha + \alpha < \delta(*)$), $\bigoplus_{\mathscr{P}_1,\mathscr{P}_{2.S}}^{\lambda,\delta(*)}$ from 1.10 and

$$(*) \ \alpha \in S \Rightarrow |\mathscr{P}_{2,\alpha}| \le |\alpha|.$$

(Note: a non-stationary subset of S does not count; e.g. for λ successor cardinal the α with $|\alpha|^+ < \lambda$. Note: $^+\oplus_{\overline{\mathscr{P}}_1,\overline{\mathscr{P}}_{2,S}}^{\lambda,\delta(*)}$ holds by (*) and if λ is successor then $^+\oplus_{\overline{\mathscr{P}}_1,\overline{\mathscr{P}}_{2,S}}^{\lambda,\delta(*)}$ suffice).

<u>Then</u> for some stationary $S_1 \subseteq S$ and $\bar{\mathscr{P}} = \langle \mathscr{P}_{\alpha} : \alpha < \lambda \rangle$ we have: $\mathscr{P}_{\alpha} \subseteq \mathscr{P}_{1,\alpha} \cup \mathscr{P}_{2,\alpha}$ and:

- ${}^* \otimes_{\overline{\mathcal{P}}, S_1}^{\lambda, \delta(*)} \quad (i) \ \mathscr{P}_{\alpha} \ is \ a \ family \ of \ closed \ subsets \ of \ \alpha, \ |\mathscr{P}_{\alpha}| < \lambda$ $(ii) \ \text{otp} C < \delta(*) \ if \ C \in \mathscr{P}_{\alpha}, \alpha \notin S_1$ $(iii) \ if \ \alpha \in S_1 \ then: \ \mathscr{P}_{\alpha} = \{C_{\alpha}\}, \ \text{otp}(C_{\alpha}) = \delta(*),$ $C_{\alpha} \ a \ club \ of \ \alpha \ disjoint \ to \ S_1$ $(iv) \ C \in \mathscr{P}_{\alpha} \ \& \ \beta \in \text{nacc}(C) \Rightarrow \beta \cap C \in \mathscr{P}_{\beta}$
 - (v) for any club E of λ for some $\delta \in S_1$ we have $C_{\delta} \subseteq E$.

1.14 Remark. Note there are two points we gain: for $\alpha \in S_1$, \mathscr{P}_{α} is a singleton (similarly to 1.4 where we have $(\exists^{\leq 1}C \in \mathscr{P}_{\delta})[\operatorname{otp}(C) = \delta(*)]$), and an ordinal α cannot have a double role $-C_{\alpha}$ a guess (i.e. $\alpha \in S_1$) and C_{α} is a proper initial segment of such C_{δ} . When $\delta(*)$ is a regular cardinal this is easier.

Proof. Let $\mathscr{P}_{2,\alpha} = \{C_{\alpha,i} : i < \alpha\}$ (such a list exists as we have assumed $|\mathscr{P}_{2,\alpha}| \le |\alpha|$, we ignore the case $\mathscr{P}_{2,\alpha} = \emptyset$). Now

- (*)₀ for some $i < \lambda$ for every club E of λ for some $\delta \in S \cap E$ we have $C_{\delta,i} \setminus E$ is bounded in α [Why? If not, for every $i < \lambda$ there is a club E_i of λ such that for no $\delta \in S \cap E$ is $C_{\delta,i} \setminus E$ bounded in α . Let $E^* = \{j < \lambda : j \text{ a limit ordinal, } j \in \bigcap_{i < j} E_i\}$, it is a club of λ , hence for some $\delta \in S \cap E^*$ and $C \in \mathscr{P}_{2,\delta}$ we have $C \subseteq E^*$. So for some $i < \alpha, C = C_{\delta,i}$, so $C \subseteq E^* \subseteq E_i \cup i$ hence $C_{\delta,i} \setminus i \subseteq E_i$, contradicting the choice of E_i .].
- (*)₁ for some $i < \lambda$ and $\gamma < \delta(*)$, letting $C_{\delta} =: C_{\delta,i} \setminus \{\zeta \in C_{\delta,i} : \operatorname{otp}(\zeta \cap C_{\delta,i}) < \gamma\}$ we have: for every club E of λ for some $\delta \in S \cap E$ we have: $C_{\delta} \subseteq E$ [Why? Let i(*) be as in $(*)_0$, and for each $\gamma < \delta(*)$ suppose E_{γ} exemplify the failure of $(*)_1$ for i(*) and γ , now $\bigcap_{\gamma < \delta(*)} E_{\gamma}$ is a club of λ exemplifying

the failure of $(*)_0$ for i(*) contradiction. So for some $\gamma < \delta(*)$ we succeed.]

(*)₂ Without loss of generality $|\mathscr{P}_{2,\alpha}| \leq 1$, so let $\mathscr{P}_{2,\alpha} = \{C_{\alpha}\}$ [Why? Let i, γ and C_{δ} (for $\delta \in S$) be as in (*)₁ and use $\mathscr{P}'_{1,\alpha} = \{C \setminus \{\zeta \in C : \operatorname{otp}(\zeta \cap C) < \gamma\} : C \in \mathscr{P}_{1,\alpha}\}, \mathscr{P}'_{2,i} = \{C_{\delta}\}.$]

- $(*)_3$ for some $h: \lambda \to |\delta(*)|^+$, for every $\alpha \in S$ we have $h(\alpha) \notin \{h(\beta): \beta \in C_\alpha\}$ [Why? Choose $h(\alpha)$ by induction on α .]
- $(*)_4$ for some $\beta < |\delta(*)|^+$ for every club E of λ , for some $\delta \in S \cap h^{-1}(\{\beta\}), C_\delta \subseteq$ [Why? If for each β there is a counterexample E_{β} then $\cap \{E_{\beta} : \beta < |\delta(*)|^{+}\}$ is a counterexample for $(*)_2$.

Now we have gotten the desired conclusion.

 $\square_{1.13}$

1.15 Claim. If $S \subseteq \{\delta < \lambda : \operatorname{cf}(\delta) = \kappa\}, S \in I[\lambda], \kappa^+ < \lambda = \operatorname{cf}(\lambda), \underline{then} \text{ for some stationary } S_1 \subseteq S \text{ and } \bar{\mathscr{P}}_1 \text{ we have } ^* \oplus_{\mathscr{P}_{1,S_1}}^{\lambda,\delta(*)}.$

Proof. Same proof as 1.4 (plus $(*)_3, (*)_4$ in the proof of 1.10). $\square_{1.15}$

1.16 Claim. Assume $\lambda = \mu^+$, $|\delta(*)| < \mu$ and $\operatorname{cf}(\delta(*)) \neq \operatorname{cf}(\mu)$. <u>Then</u> we can find stationary $S \subseteq \{\delta < \lambda : \operatorname{cf}(\delta) = \operatorname{cf}(\delta)(*)\}$ and $\bar{\mathscr{P}}$ such that $^*\otimes_{ar{\mathscr{P}},S}^{\lambda,\delta(*)}$

Remark. This strengthens 1.10.

Proof. Case $(\alpha).\mu$ regular. By [Sh:e, Ch.III,6.4](2), [Sh 365, 2.14](2)((c)+(d)).

Let $\theta =: \operatorname{cf}(\mu), \sigma =: |\delta(*)|^+ + \theta^+$ and $\mu = \sum_{\zeta < \theta} \mu_{\zeta}, \langle \mu_{\zeta} : \zeta < \theta \rangle$ strictly increasing,

 $\mu_0 > \sigma$ and for each $\alpha < \lambda$ let $\alpha = \bigcup_{\zeta < \theta} A_{\alpha,\zeta}$, $\langle A_{\alpha,\zeta} : \zeta < \theta \rangle$ increasing, $|A_{\alpha,\zeta}| \le \mu_{\zeta}$. By 1.8 there is a sequence $\bar{\mathscr{P}} = \langle \mathscr{P}_{\alpha} : \alpha < \lambda \rangle$ and stationary $S_1 \subseteq \{\delta < \lambda : \operatorname{cf}(\delta) = \sigma\}$ such that $\bigoplus_{\bar{\mathscr{P}},S_1}^{\lambda,\sigma}$ of 1.4 holds. Let $\bigcup \{\mathscr{P}_{\alpha} : \alpha < \lambda\} \cup \{\emptyset\}$ be $\{C_{\alpha}: \alpha < \lambda\}$ such that $C_{\alpha} \subseteq \alpha$, $[\alpha \in S_1 \Rightarrow C_{\alpha} \in \mathscr{P}_{\alpha} \& \operatorname{otp}(C_{\alpha}) = \sigma]$ and $[\alpha \notin S_1 \Rightarrow \operatorname{otp}(C_{\alpha}) < \sigma]$. For some club E_1^* of λ , $[\alpha \in E_1^* \Rightarrow \bigcup_{\beta < \alpha} \mathscr{P}_{\beta} = \{C_{\beta}: \beta < \alpha\}]$.

Looking again at $\bigoplus_{\bar{\mathscr{P}},S_1}^{\lambda,\sigma}$, we can assume $S_1\subseteq E_1^*$ & $(\forall \delta)[\delta\in S_1\Rightarrow C_\delta\subseteq E_1^*]$, hence

(*) $\delta \in S_1$ & $\alpha \in \text{nacc } C_{\delta} \Rightarrow \alpha \cap C_{\delta} \in \{C_{\beta} : \beta < \text{Min}(C_{\delta} \setminus (\alpha + 1))\}.$

So as we can replace every C_{α} by $\{\beta \in C_{\alpha} : \text{otp}(C_{\alpha} \cap \beta)\}$ is even, without loss of generality [because we can replace every C_{α} by $\{\beta \in C_{\alpha} : \text{otp}(\beta \cap C_{\alpha}) \text{ is even}\}$, without loss of generality (check)

$$(*)^+$$
 $\delta \in S_1$ & $\alpha \in \text{nacc } C_\delta \Rightarrow \alpha \cap C_\delta \in \{C_\beta : \beta < \alpha\}.$

Without loss of generality $[\beta \in A_{\alpha,\zeta} \Rightarrow C_{\beta} \subseteq A_{\alpha,\zeta}]$ (just note $|C_{\beta}| \leq \sigma < \mu_{\zeta}$) and $\alpha \in A_{\beta,\zeta} \Rightarrow A_{\alpha,\zeta} \subseteq A_{\beta,\zeta}$. For $\alpha \in S_1$ let $C_{\alpha} = \{\beta_{\alpha,\epsilon} : \epsilon < \sigma\}(\beta_{\alpha,\epsilon} \text{ increasing in } \epsilon)$ and let $\beta_{\alpha,\epsilon}^* \in [\beta_{\alpha,\epsilon}, \beta_{\alpha,\epsilon+1})$ be minimal such that $C_{\alpha} \cap \beta_{\alpha,\epsilon+1} = C_{\beta_{\alpha,\epsilon}^*}$ (exists as $\delta \in S_1 \Rightarrow C_\delta \subseteq E_1^*$). Without loss of generality every C_α is an initial segment of some C_{β} , $\beta \in S_1$ (if not, we redefine it as \emptyset).

 $(*)_1$ there are $\gamma = \gamma(*) < \theta$ and stationary $S_2 \subseteq S_1$ such that for every club E of λ , for some $\delta \in S_2$ we have: $C_{\delta} \subseteq E$, and for arbitrarily large $\epsilon < \sigma$, $\beta_{\delta,\epsilon}^* \in A_{\beta_{\delta,\epsilon+1},\gamma}$.

[Why? If not, for every $\gamma < \theta$ (by trying $\gamma(*) = \gamma$) there is a club E_{γ} of λ exemplifying the failure of $(*)_1$ for γ . Let $E = \bigcap E_{\gamma} \cap E_1^*$, so E is a club

of λ , hence

$$S' =: \{\delta : \delta < \lambda, \delta \in S_1(\text{so cf}(\delta) = \sigma) \text{ and } C_\delta \subseteq E\}$$

is a stationary subset of λ . For each $\delta \in S'$ and $\epsilon < \sigma$ for some $\gamma = \gamma(\delta, \epsilon) < 0$ θ we have $\beta_{\delta,\epsilon}^* \in A_{\beta_{\delta,\epsilon+1},\gamma}$, but as $\sigma = \operatorname{cf}(\sigma) \neq \operatorname{cf}(\theta) = \theta$ for some $\gamma(\delta)$, $\{\epsilon < \sigma : \epsilon \gamma(\delta, \epsilon) = \gamma(\delta)\}\$ is unbounded in σ . But $\delta \in E_{\gamma(\delta)}$, contradiction.]

(*)₂ Without loss of generality: if $\beta \in \text{nacc}(C_{\alpha}), \alpha < \lambda \text{ then } (\exists \xi \in A_{\beta,\gamma(*)})[\beta >$ $\xi > \sup(\beta \cap C_{\alpha}) \& \beta \cap C_{\alpha} = C_{\xi}].$

[Why? Define C'_{α} for $\alpha < \lambda$:

 $C^0_{\alpha} = \{ \beta : \beta \in \operatorname{nacc}(C_{\alpha}) \text{ and } (\exists \xi \in A_{\beta,\gamma(*)}) [\beta > \xi \geq \sup(\beta \cap C_{\alpha}) \& \beta \in A_{\beta,\gamma(*)} \}$ $\beta \cap C_{\alpha} = C_{\xi}$].

- C'_{α} is: \emptyset if $\alpha \in S_2$, $\alpha > \sup(C^0_{\alpha})$ $\alpha \cap \text{ closure of } C^0_{\alpha} \text{ otherwise.}]$ Now $\langle C_{\alpha} : \alpha < \lambda \rangle$ can be replaced by $\langle C'_{\alpha} : \alpha < \lambda \rangle$
- $(*)_3$ For some $\gamma_1 = \gamma_1(*) < \theta$ for every club E of λ for some $\delta \in E : \mathrm{cf}(\delta) =$ $\operatorname{cf}(\delta(*))$, and there is a club e of δ satisfying: $e \subseteq E$, $\operatorname{otp}(e)$ is $\delta(*)$, and for arbitrarily large $\beta \in \text{nacc}(e)$ we have $e \cap \beta \in \{C_{\zeta} : \zeta \in A_{\delta, \gamma_1}\}.$

[Why? If not, for each $\gamma_1 < \theta$ there is a club E_{γ_1} of λ for which there is no δ as required. Let $E =: \bigcap E_{\gamma_1}$, so E is a club of λ hence for some

 $\alpha \in \operatorname{acc}(E) \cap S_2, C_{\alpha} \subseteq E$. Letting again $C_{\alpha} = \{\beta_{\alpha,\epsilon} : \epsilon < \sigma\}$ (increasing), $C_{\alpha} \cap \beta_{\alpha,\epsilon} = C_{\delta,\beta_{\delta,\epsilon}^*}$ where $\beta_{\delta,\epsilon}^* \in A_{\beta_{\delta,\epsilon+1},\gamma(*)}$ clearly $\delta =: \beta_{\alpha,\delta(*)}, e = \{\beta_{\delta,\epsilon}: \beta_{\delta,\epsilon}\}$

 $\square_{1.16}$

 $\epsilon < \delta(*)$ satisfies the requirements except the last. As $\operatorname{cf}(\delta(*)) \neq \operatorname{cf}(\mu)$, for some $\gamma_1(*) < \theta$, $\gamma_1(*) \geq \gamma(*)$ and $\{\epsilon < \delta(*) : \beta^*_{\delta,\epsilon} \in A_{\beta_{\delta,\delta(*)},\gamma_1(*)}\}$ is unbounded in $\delta(*)$. Clearly $\delta =: \beta_{\alpha,\delta(*)}, e =: C_{\alpha} \cap \delta$ satisfies the requirement. Now this contradicts the choice of $E_{\gamma_1(*)}$.

- (*)₄ For some club E^a of λ , for every club $E^b \subseteq E^a$ of λ , for some $\delta \in E^b$ we have:
 - (a) $\operatorname{cf}(\delta) = \operatorname{cf}(\delta(*))$
 - (b) for some club e of $\delta : e \subseteq E^b$, $\operatorname{otp}(e) = \delta(*)$, and for arbitrarily large $\beta \in \operatorname{nacc}(e)$ we have $e \cap \beta \in \{C_{\xi} : \epsilon \in A_{\delta,\gamma_1(*)}\}$
 - (c) for every $\beta \in A_{\delta,\gamma_1(*)}$ we have: $C_{\beta} \subseteq E^a \Rightarrow C_{\beta} \subseteq E^b$ (we could have demanded $C_{\beta} \cap E^a = C_{\beta} \cap E^b$). [Why? If not we choose E_i for $i < \mu_{\gamma_1(*)}^+$ by induction on i, $[j < i \Rightarrow E_i \subseteq E_j]$, E_i a club of λ , and E_{i+1} exemplify the failure of E_i as a candidate for E^a . So $\bigcap_i E_i$ is a club of λ hence by $(*)_3$ there are δ and e as there. Now $\langle \{\beta \in A_{\delta,\gamma_1(*)} : C_{\beta} \subseteq E_i\} : i < \mu_{\gamma_1(*)}^+ \rangle$ is a decreasing sequence of subsets of $A_{\delta,\gamma_1(*)}$ of length $\mu_{\gamma_1(*)}^+$, and $|A_{\delta,\gamma_1(*)}| \leq \mu_{\gamma_1(*)}$, hence it is eventually constant. So for every i large enough, δ contradicts the choice of E_{i+1} .]

* * *

Let $S = \{\delta < \lambda : \operatorname{cf}(\delta) = \operatorname{cf}(\delta(*)), \text{ and there is a club } e = e_{\delta} \text{ of } \delta \text{ satisfying:} e \subseteq E^a, \operatorname{otp}(e) = \delta(*), \ \alpha \in \operatorname{nacc}(e) \Rightarrow e \cap \alpha \in A_{\alpha,\gamma(*)} \text{ and for arbitrarily large } \beta \in \operatorname{nacc}(e) \text{ we have } e \cap \beta \in \{C_{\xi} : \xi \in A_{\delta,\gamma(*)}\}\}.$

So S is stationary, let for $\delta \in S$, C_{δ}^* be an e as above. For $\alpha < \lambda$ let $\mathscr{P}_{1,\alpha} = \{C_{\beta} : \beta \leq \alpha, \beta \in A_{\alpha,\gamma_2(*)}\}$

- $(*)_5(a)$ for every club E of λ , for some $\delta \in S$, $C^*_{\delta} \subseteq E$
 - (b) C_{δ}^* is a club of δ , $\operatorname{otp}(C_{\delta}^*) = \delta(*)$
 - (c) if $\beta \in \text{nacc } C_{\delta}^*(\delta \in S)$ then $C_{\delta}^* \cap \beta \in \mathscr{P}_{1,\beta}$
 - (d) $|\mathscr{P}_{1,\beta}| \leq \mu_{\gamma(*)}$, $\mathscr{P}_{1,\beta}$ is a family of closed subsets of β of order type $< \delta(*)$, [Why? This is what we have proved in $(*)_4$; noting that in $(*)_4$ in (b), (e) is not uniquely determined, but by (c) every "reasonable" candidate is O.K.]

Now repeating $(*)_3$, $(*)_4$ of the proof of 1.13, and we finish.

- **1.17 Claim.** 1) Assume $\lambda = \mu^+$, $|\delta(*)| < \mu$, $\aleph_0 < \operatorname{cf}(\delta(*)) = \operatorname{cf}(\mu)(<\mu)$; then we can find stationary $S \subseteq \{\delta < \lambda : \operatorname{cf}(\delta) = \operatorname{cf}(\delta(*))\}$ and $\bar{\mathscr{P}}$ such that $*\otimes_{\bar{\mathscr{P}},S}^{\lambda,\delta(*)}$, except when:
 - \oplus for every regular $\sigma < \mu$, we can find $h : \sigma \to \operatorname{cf}(\mu)$ such that for no δ, ϵ do we have: if $\delta < \sigma, \operatorname{cf}(\delta) = \operatorname{cf}(\mu), \epsilon < \operatorname{cf}(\mu)$ then $\{\alpha < \delta : h(\alpha) < \epsilon\}$ is not a stationary subset of δ .
- 2) In 1.16 and 1.17(1) we can have $\mu > \sup\{|\mathscr{P}_{\alpha}| : \alpha < \lambda\}$.
- 3) If 1.17(2) if μ is strong limit we can have $|\mathscr{P}_{\alpha}| \leq 1$ for each α .

Remark. Compare with [Sh 186, §3].

Proof. Left to the reader (reread the proof of 1.16 and [Sh 186, §3].

- **1.18 Claim.** 1) Let κ be regular uncountable and we have global choice (or restrict ourselves to $\lambda < \lambda^*$). We can choose for each regular $\lambda > \kappa^+$, $\bar{\mathscr{P}}^{\lambda} = \langle \mathscr{P}^{\lambda}_{\alpha} : \alpha < \lambda \rangle$ (assuming global choice) such that:
 - (a) for each λ , $\mathscr{P}^{\lambda}_{\alpha}$ is a family of $\leq \lambda$ of closed subsets of α of order type $< \kappa$.
 - (b) if χ is regular, F is the function $\lambda \mapsto \bar{\mathscr{P}}^{\lambda}$ (for λ regular $< \chi$), $\aleph_0 < \kappa = \operatorname{cf}(\kappa), \kappa^{++} < \chi, x \in \mathscr{H}(\chi)$ then we can find $\bar{N} = \langle N_i : i \leq \kappa \rangle$, an increasing continuous chain of elementary submodels of $(\mathscr{H}(\chi), \in, <_{\chi}^*, F), \langle N_j : j \leq i \rangle \in N_{i+1}, ||N_i|| = \aleph_0 + |i|, x \in N_0$ such that:
 - (*) if $\kappa^+ < \theta = \operatorname{cf}(\theta) \in N_i$, then for some club C of $\sup(N_{\kappa} \cap \theta)$ of order type κ ; for any $j_1^i < j < \kappa$ we have: $C \cap \sup(N_j \cap \theta) \in N_{j+1}$, $\operatorname{otp}(C \cap \sup(N_j \cap \theta)) = j$.
- 2) We can above have $|\mathscr{P}_{\alpha}^{\lambda}| < \lambda$.

Proof. 1) Let $\langle C_{\alpha} : \alpha \in S \rangle$ be such that $S \subseteq \{\alpha \le \kappa^{++} : \operatorname{cf}(\alpha) \le \kappa\}$ is stationary, $\operatorname{otp}(C_{\alpha}) \le \kappa$, $[\beta \in C_{\alpha} \Rightarrow C_{\beta} = \beta \cap C_{\alpha}]$, C_{α} a closed subset of α , $[\alpha \text{ limit } \Rightarrow \alpha = \sup(C_{\alpha})]$, $\{\alpha \in S : \operatorname{cf}(\alpha) = \kappa\}$ stationary, and for every club E of κ^{++} there is $\delta \in S$, $\operatorname{cf}(\delta) = \kappa$, $C_{\delta} \subseteq E$. For $i \in \kappa^{++} \setminus S$ let $C_i = \emptyset$. Now for every regular $\lambda > \kappa^{+}$ and $\alpha \le \lambda$, let $e_{\alpha}^{\lambda} \subseteq \alpha$ be a club of α of order type $\operatorname{cf}(\alpha)$. For λ as above and for $\alpha \le \lambda$ limit let $\widehat{\mathscr{P}}_{\alpha}^{\lambda} = \{\{i \in e_{\delta} : i < \alpha, \operatorname{otp}(e_{\delta} \cap i) \in C_{\beta}\} : \delta < \lambda$ has cofinality κ^{++} , and $\beta \in S\}$. Given $x \in H(\chi)$, we choose by induction on $i < \kappa^{++}$, M_i , N_i such that:

$$\begin{split} N_i \prec M_i \prec (\mathscr{H}(\chi), \in, <^*_\chi, F) \\ \|M_i\| &= |i| + \aleph_0 \\ \|N_i\| &= |C_i| + \aleph_0 \\ M_i(i < \kappa^{++}) \text{ is increasing continuous} \\ x \in M_0, \\ \langle M_j : j \leq i \rangle \in M_{i+1} \\ N_i \text{ is the Skolem Hull of } \{\langle N_j : j \in C_\zeta \rangle : \zeta \in C_i\}. \end{split}$$

We leave the checking to the reader.

2) We imitate the proof of 1.5.

 $\square_{1.18}$

§2 Measuring $[\lambda]^{<\kappa}$

We prove here that two natural ways to measure $\mathscr{S}_{<\kappa}(\lambda)$ for κ regular uncountable, give the same cardinal: the minimal cardinality of a cofinal subset; i.e. its cofinality (i.e. $\operatorname{cov}(\lambda,\kappa,\kappa,2)$) and the minimal cardinality of a stationary subset. The theorem is really somewhat stronger: for appropriate normal ideal on $\mathscr{S}_{<\kappa}(\lambda)$, some member of the dual filter has the right cardinality.

The problem is natural and I did not trace its origin, but until recent years it seems (at least to me) it surely is independent, and find it gratifying we get a clean answer. I thank P. Matet and M. Gitik of reminding me of the problem.

We then find applications to Δ -systems and largeness of $\check{I}[\lambda]$.

2.1 Definition. 1) Let $(\bar{C}, \bar{\mathscr{P}}, Z) \in \mathscr{T}^*[\theta, \kappa]$ when:

- (i) $\aleph_0 < \kappa = \operatorname{cf}(\kappa) < \theta = \operatorname{cf}(\theta)$,
- (ii) $\bar{C} = \langle C_{\delta} : \delta \in S \rangle, \bar{\mathscr{P}} = \langle \mathscr{P}_{\delta} : \delta \in S \rangle, Z = \langle \langle \mathscr{P}_{\delta} : \delta \in S \rangle$
- (iii) $S \subseteq \theta$, S is stationary (we shall write $S = S(\bar{C})$),
- (iv) C_{δ} is an unbounded subset of δ , (not necessarily closed)
- (v) $id^a(\bar{C})$ is a proper ideal (i.e. for every club E of θ for some $\delta \in S$, $C_\delta \subseteq E$)
- $(vi) \bigwedge_{\delta \in S} \operatorname{otp}(C_{\delta}) < \kappa, \text{ (hence } [\delta \in S \Rightarrow \operatorname{cf}(\delta) < \kappa])$
- - $(\beta) \quad \bigcup_{x \in \mathscr{P}_{\delta}} x = C_{\delta}, \text{ and } |\mathscr{P}_{\delta}| < \kappa$
- (viii) for some¹ list $\langle b_i^* : i < \theta \rangle$ of $\bigcup_{\alpha \in S} \mathscr{P}_{\alpha} \cup \{\emptyset\}$ satisfying $b_i^* \subseteq i$ we have: for every $\alpha \in S$ we have $\mathscr{P}_{\alpha} \subseteq \{b_j^* : j < \alpha\}$
 - (ix) for $x \in \bigcup_{\delta \in S} \mathscr{P}_{\delta}$ we have the set $\mathscr{P}_x := \{ y \in \bigcup_{\delta \in S} \mathscr{P}_{\delta} : y <_{\mathscr{P}_{\delta}} x \}$ has cardinality κ .

¹a sufficient condition is:

 $⁽viii)^+$ for every $\alpha < \theta$ the set $\mathscr{P}_{\alpha}^* =: \{a \cap \alpha : \text{ for some } \delta \in S \text{ we have } \alpha < \delta \in S, \ a \in \mathscr{P}_{\delta} \text{ and } \alpha \in C_{\delta} \}$ has cardinality $< \theta$ or at least

- 1A) If each $\langle \mathscr{P}_{\delta}$ is inclusion we may omit it.
- 1B) If $<_*$ is a partial order of $\bigcup \mathscr{P}_{\delta}$ and $\delta \in S \Rightarrow <_{\mathscr{P}_{\delta}} = <_* \upharpoonright \mathscr{P}_{\delta}$ then we may

write $<_*$ instead of Z.

- 2) $\bar{C} \in \mathscr{T}^0[\theta, \kappa]$, if $(\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^*[\theta, \kappa]$ where $\delta \in S(\bar{C}) \Rightarrow \mathscr{P}_{\delta} = \{C_{\delta} \cap \alpha : \alpha \in C_{\delta}\}$. 3) $\bar{C} \in \mathscr{T}^1[\theta, \kappa]$ if $(\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^*[\theta, \kappa]$ where $\delta \in S(\bar{C}) \Rightarrow \mathscr{P}_{\delta} = [C_{\delta}]^{<\aleph_0}$.

Note that:

2.2 Claim. 1) If $\theta = \operatorname{cf}(\theta) > \kappa = \operatorname{cf}(\kappa) > \sigma = \operatorname{cf}(\sigma)$, then there is $\bar{C} \in \mathcal{T}^1[\theta, \kappa]$ such that:

$$\{\delta \in S(\bar{C}) : \operatorname{cf}(\delta) = \sigma\} \neq \emptyset \operatorname{mod} \operatorname{id}^a(\bar{C}).$$

- 2) If $S \subseteq \{\delta < \theta : \operatorname{cf}(\delta) < \kappa\}$ is stationary, \bar{C} an S-club system, $|C_{\delta}| < \kappa$, and $\operatorname{id}^{a}(\bar{C})$ a proper ideal, then $\bar{C} \in \mathcal{T}^{1}[\theta, \kappa]$.
- 3) In (2) if in addition for each $\alpha < \theta$ we have $|\{C_{\delta} \cap \alpha : \alpha \in C_{\delta}, \delta \in S\}| < \theta$ then $\bar{C} \in \mathscr{T}^0[\theta,\kappa].$
- 4) If θ is a successor of regular then in part (2) we can demand $\bar{C} \in \mathscr{T}^0[\theta, \kappa]$ each C_{δ} closed.
- 5) If $\theta = \operatorname{cf}(\theta) > \kappa = \operatorname{cf}(\kappa) > \sigma = \operatorname{cf}(\sigma)$, then there is $\bar{C} \in \mathscr{T}^0[\theta, \kappa]$ such that: $\{\delta \in S(\bar{C}) : \operatorname{cf}(\delta) = \sigma\} \neq \emptyset \operatorname{mod} \operatorname{id}^a(\bar{C}).$
- 6) If $\theta = \mathrm{cf}(\theta) = cf(\kappa) > \sigma = \mathrm{cf}(\sigma)$ and $S \in I[\theta]$ is stationary then there is $\bar{C} \in \mathcal{T}^0[\theta, \kappa]$ such that $S(\bar{C}) = S$.

Proof. 1) Let $S_0 \subseteq \{\delta < \theta : \operatorname{cf}(\delta) = \sigma\}$ be stationary, C_δ^0 a club of δ of order type σ . By [Sh 365, §2], for some club E of λ letting $S = S_0 \cap \operatorname{acc}(E)$ and letting, for $\delta \in S, C_{\delta} = g\ell(C_{\delta}^{0}, E) = \{\sup(\alpha \cap E) : \alpha \in C_{\delta}\}\$ we have $S \notin id^{a}(\langle C_{\delta} : \delta \in S_{0} \rangle),$ now use part (2).

- 2) Check.
- 3) Check.
- 4) By $[Sh 351, \S4]$, [Sh:e, Ch.IV, 3.4](2) or [Sh 365, 2.14](2)((c)+(d)) but see [Sh:E12].
- 5) By 1.7 and 1.15 (so we use the non-accumulation points).
- 6) Similarly. $\square_{2,2}$

Remember (see $[Sh 52, \S 3]$).

2.3 Definition. 1) $\mathscr{D}^{\kappa}_{<\kappa}(\lambda)$ is the filter on $[\lambda]^{<\kappa}$ defined by: for $X \subseteq [\lambda]^{<\kappa}$:

 $X \in \mathscr{D}^{\kappa}_{<\kappa}(\lambda)$ iff there is a function F with domain the set of sequences of length $<\kappa$ with elements from $[\lambda]^{<\kappa}$ and F is into $[\lambda]^{<\kappa}$ such that: if $a_{\zeta} \in [\lambda]^{<\kappa}$ for $\zeta < \kappa$, is \subseteq -increasing continuous and for each $\zeta < \kappa$ we have $F(\langle \ldots, a_{\xi}, \ldots \rangle)_{\xi < \zeta} \subseteq a_{\zeta+1}$ then $\{\zeta < \kappa : a_{\zeta} \in X\} \in \mathscr{D}_{\kappa}$.

(recall that \mathcal{D}_{κ} the filter generated by the family of clubs of κ).

Similarly

2.4 Definition. For $\lambda \geq \theta = \mathrm{cf}(\theta) > \kappa = \mathrm{cf}(\kappa) > \aleph_0$, $(\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^*[\theta, \kappa]$ and set X of cardinality $\geq \kappa$ we define a filter $\mathscr{D}_{(\bar{C}, \bar{\mathscr{P}})}(\lambda)$ on $[\lambda]^{<\kappa}$; (letting, e.g. $\chi = \beth_{\omega+1}(\lambda)$):

 $Y \in \mathscr{D}_{(\bar{C},\bar{\mathscr{P}})}(\lambda) \text{ iff } Y \subseteq [\lambda]^{<\kappa} \text{ and for some } \mathbf{x} \in \mathscr{H}(\chi), \text{ for every } \langle N_{\alpha}, N_a^* : \alpha < \theta, a \in \bigcup_{\delta \in S} \mathscr{P}_{\delta} \rangle \text{ satisfying } \otimes \text{ below, also there is } A \in \text{id}^a(\bar{C}) \text{ such that: } \delta \in S(\bar{C}) \backslash A \Rightarrow 0$

 $\bigcup_{a\in\mathscr{P}_{\delta}} N_a^* \cap \lambda \in Y \text{ where, letting } \mathscr{P} = \bigcup \{\mathscr{P}_{\delta} : \delta \in S\},$

- $\otimes(i)$ $N_{\alpha} \prec (\mathcal{H}(\chi), \in, <^*_{\gamma})$
- $(ii) ||N_{\alpha}|| < \theta,$
- (iii) $\langle N_{\beta} : \beta \leq \alpha \rangle \in N_{\alpha+1}$
- (iv) $\langle N_{\alpha} : \alpha < \theta \rangle$ is increasing continuous

(v)
$$N_a^* \prec (\mathcal{H}(\chi), \in, <_{\chi}^*)$$
 for $a \in \bigcup_{\delta \in S} \mathscr{P}_{\delta}$

- $(vi) \ \|N_a^*\| < \kappa, \, N_a^* \cap \kappa$ an initial segment of κ
- (vii) $b \subseteq a$ (both in $\bigcup_{\delta \in S} \mathscr{P}_{\delta}$) implies $N_b^* \prec N_a^*$

$$(viii) \ \ \text{if} \ \alpha \in a \in \bigcup_{\delta \in S} \mathscr{P}_{\delta} \ \ \text{then} \ \langle N_{\beta}, N_b^* : \beta \leq \alpha, b \subseteq a, b \in \{b_i^* : i \leq \alpha\} \subseteq \mathscr{P} = \bigcup_{\delta \in S} \mathscr{P}_{\delta} \rangle \ \ \text{belongs to} \ N_a^*$$

- $(ix) \ \langle N_{\beta}, N_b^* : \beta \leq \alpha, b \subseteq \alpha + 1, b \in \{b_i^* : i \leq \alpha + 1\} \subseteq \bigcup_{\delta \in S} \mathscr{P}_{\delta} \rangle \text{ belongs to } N_{\alpha + 1}$
- $(x) \ a \subseteq N_a^* \ \text{and} \ \alpha \in a \Rightarrow \alpha \cap a \in N_a^*$
- (xi) $a \subseteq \alpha, a \in \mathscr{P}$ implies $N_a^* \in N_{\alpha+1}$ (follows from (ix) by clause (viii) of Definition 2.1(1))
- $(xii) \ a \in \mathscr{P}_{\delta} \ \& \ \delta \in S \ \& \ \alpha < \theta \Rightarrow \mathbf{x} \in N_a^* \ \& \ \mathbf{x} \in N_{\alpha}.$

Clearly

2.5 Claim. 1) Any χ that is $\mathcal{H}(\chi)$ can serve, and $\mathbf{x} = (Y, \lambda, \bar{C}, \bar{\mathcal{P}})$ is enough. 2) $\mathcal{D}_{(\bar{C}, \bar{\mathcal{P}})}(\lambda)$ is a (non-trivial) fine $(<\kappa)$ -complete filter on $[\lambda]^{<\kappa}$ when $(\bar{C}, \bar{\mathcal{P}}) \in \mathcal{F}^*[\theta, \kappa], \lambda \geq \theta$, hence it extends $\mathcal{D}_{<\kappa}(\lambda)$. (Remember $\mathrm{id}^a(\bar{C})$ is a proper ideal).

Proof. Should be clear.

 $\square_{2.5}$

2.6 Theorem. Suppose $\lambda > \theta = \mathrm{cf}(\theta) > \kappa = \mathrm{cf}(\kappa) > \aleph_0$ and $\theta = \kappa^+$. Then the following four cardinals are equal for any $(\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^*[\theta, \kappa]$, recalling there are such $(\bar{C}, \bar{\mathscr{P}})$ by 2.2:

$$\mu(0) = \operatorname{cf}([\lambda]^{<\kappa}, \subseteq)$$

 $\mu(1) = \operatorname{cov}(\lambda, \kappa, \kappa, 2) = \operatorname{Min}\{|\mathscr{P}| : \mathscr{P} \subseteq [\lambda]^{<\kappa}, \text{ and for every } a \subseteq \lambda, |a| < \kappa \text{ there is } b \in \mathscr{P} \text{ satisfying } a \subseteq b\}$

$$\mu(2) = \min\{|S| : S \subseteq [\lambda]^{<\kappa} \text{ is stationary}\}\$$

$$\mu(3) = \mu_{(\bar{C}, \bar{\mathscr{P}})} = \operatorname{Min}\{|Y| : Y \in \mathscr{D}_{(\bar{C}, \bar{P})}(\lambda)\}.$$

- 2.7 Remark. 0) We thank M. Shioya for asking for a correction of an inaccuracy in the proof in a meeting in the summer of 1999 in which we answer him; this and other minor changes are done here. I thank P. Komjath for helpful comments and S. Garti for help in proofreading.
- 1) It is well known that if $\lambda > 2^{<\kappa}$ then the equality holds as they are all equal to $\lambda^{<\kappa}$.
- 2) This is close to "strong covering".
- 3) Note that only $\mu(3)$ has $(\bar{C}, \bar{\mathscr{P}})$ in its definition, so actually $\mu(3)$ does not depend on $(\bar{C}, \bar{\mathscr{P}})$, recalling that by Claim 2.2 we know that $\mathscr{T}^*[\theta, \kappa]$ is not empty.
- 4) $\mu(0), \mu(1)$ are equal trivially.
- 2.8 Remark. 0) We can concentrate on the case $(\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^1[\theta, \kappa]$ or $\mathscr{T}^0[\theta, \kappa)$. This somewhat simplifies and is enough.
- 1) We can weaken in Definition 2.1(1) demand (ix) as follows:
 - (ix)' there is a sequence $\langle a_i, \mathscr{P}_i^* : i < \lambda \rangle$ such that
 - (a) $|a_i| < \kappa$, \mathscr{P}_i^* is a family of $< \kappa$ subsets of a_i
 - (b) for every $\delta \in S$ and $x \in \mathscr{P}_{\delta}$ for some $i < \delta, a_i = x$ and $(\forall b)[b \in \mathscr{P}_{\delta} \& b \subseteq a \Rightarrow b \in \mathscr{P}_i^*].$

In this case 2.6, 2.7(4) (and 2.5) remains true and we can strengthen 2.2.

2) We can even use \mathscr{P}_{δ} with another order (not \subseteq).

Proof. Clearly $\lambda \leq \mu(0) = \mu(1) \leq \mu(2) \leq \mu(3)$ (the last — by 2.5(2)). So we shall finish by proving $\mu(3) \leq \mu(1)$, and let \mathscr{Q} exemplify $\mu(1) = \operatorname{cov}(\lambda, \kappa, \kappa, 2)$. Let $S = S(\bar{C})$, etc.

Let χ be e.g. $\beth_3(\lambda)^+$ and let M_λ^* be the model with universe $\lambda+1$ and all functions definable in $(\mathscr{H}(\chi), \in, <^*_{\chi}, \lambda, \kappa, \mu(1))$. Let M^* be an elementary submodel of $(\mathscr{H}(\chi), \in, <^*_{\chi})$ of cardinality $\mu(1)$ such that $\mathscr{Q} \in M^*, M_\lambda^* \in M^*, (\bar{C}, \bar{\mathscr{P}}) \in M^*$ and $\mu(1)+1 \subseteq M^*$ hence $\mathscr{Q} \subseteq M^*$. It is enough to prove that $M^* \cap [\lambda]^{<\kappa}$ belongs to $\mathscr{Q}_{(\bar{C},\bar{P})}(\lambda)$.

So let N_i (for $i < \theta$), N_x^* (for $x \in \bigcup_{\delta \in S} \mathscr{P}_{\delta}$) be such that: they satisfy \otimes of

Definition 2.4 for $\mathbf{x} := \langle M_{\lambda}^*, M^*, \mathscr{P}, \lambda, \kappa, (\bar{C}, \bar{\mathscr{P}}) \rangle$ so it belongs to every N_{α}, N_x^* . It is enough to prove that $\{\delta \in S : \lambda \cap \bigcup_{x \in \mathscr{P}_{\delta}} N_x^* \in M^*\} = \theta \mod \mathrm{id}^a(\bar{C})$. For $i \in S$

clearly $x \subseteq y$ (or $x <_{\mathscr{P}_i} y$) $\Rightarrow N_x^* \prec N_y^*$ and \mathscr{P}_i is directed (by the partial order \subseteq or $<_{\mathscr{P}_i}$ recalling clause (vii) of \otimes of Definition 2.4) hence $N_i' := \cup \{N_x^* : x \in \mathscr{P}_i\}$ is $\prec (\mathscr{H}(\chi), \in, <_\chi^*)$ and even $\prec N_i$ and N_i' has cardinality $< \kappa$ (as $|\mathscr{P}_i| < \kappa$ and each N_x^* has cardinality $< \kappa$ and κ is regular) and we have to show that $\{i \in S : \lambda \cap N_i' \in M^*\} = \theta \mod \mathrm{id}^a(\bar{C})$.

For each $i \in S$ by the choice of \mathscr{Q} , there is a set a_i such that $N_i' \cap \lambda = (\bigcup_{y \in \mathscr{P}_i} N_y^*) \cap$

 $\lambda \subseteq a_i \in \mathscr{P}$; so as \mathscr{P} and $\langle N_y^* : y \in \mathscr{P}_i \rangle$ belong to N_{i+1} , see clause (ix) of Definition 2.4 without loss of generality $a_i \in N_{i+1}$. Let $\mathfrak{a}_i =: \operatorname{Reg} \cap a_i \cap \lambda^+ \setminus \theta^+$, so \mathfrak{a}_i is a set of $< \kappa$ regular cardinals $\geq \theta^+$ and $\mathfrak{a}_i \in N_{i+1}$ too, so there is a generating sequence $\langle \mathfrak{b}_{\lambda}[\mathfrak{a}_i] : \lambda \in \operatorname{pcf}(\mathfrak{a}_i) \rangle$ as in [Sh:g, VII,2.6] = [Sh 371, 2.6], without loss of generality it is definable from \mathfrak{a}_i (in $(\mathscr{H}(\chi), \in, <_{\chi}^*)$ say the $<_{\chi}^*$ -first such object). Also $\mathfrak{a}_i \in \mathscr{P} \subseteq M^*$ so $\mathfrak{a}_i \in M^*$. As $\mathfrak{a}_i \in N_{i+1}$ we have $\langle \mathfrak{b}_{\lambda}[\mathfrak{a}_i] : \lambda \in \operatorname{pcf}(\mathfrak{a}_i) \rangle \in N_{i+1} \cap M^*$, and also there is $\langle f_{\partial,\alpha}^{\mathfrak{a}_i} : \alpha < \partial, \partial \in \operatorname{pcf}(\mathfrak{a}_i) \rangle$ as in [Sh:g, VIII,1.2] = [Sh 371, 1.2], and again without loss of generality it belongs to $N_{i+1} \cap M^*$. As max $\operatorname{pcf}(\mathfrak{a}_i) \leq \operatorname{cov}(\lambda, \kappa, \kappa, 2) = \mu(1)$, (first inequality by [Sh:g, II,5.4] = [Sh 355, 5.4]) clearly each $f_{\partial,\alpha}^{\mathfrak{a}_i} \in M^*$.

 \odot_1 h be the function with domain $\mathfrak{a} := \bigcup_{i \in S} \mathfrak{a}_i$ defined by $h(\sigma) = \sup(\sigma \cap \bigcup_{i < \theta} N_i)$.

So by [Sh:g, VIII, 2.3](1) = [Sh 371, 2.3](1)

- \odot_2 if $i \in S$ then $h \upharpoonright \mathfrak{a}_i$ has the form $\operatorname{Max}\{f_{\partial_{\ell},\alpha_{\ell}}^{\mathfrak{a}_i} : \ell < n\}$ for some $n < \omega, \partial_{\ell} \in \operatorname{pcf}(\mathfrak{a}_{\ell})$ and $\alpha_{\ell} < \partial_{\ell}$ for $\ell < n$ hence
- \odot_3 if $i \in S$ then $h \upharpoonright \mathfrak{a}_i$ belongs to M^* and obviously (as $\sigma \in \mathfrak{a}_i \land i < j_1 < j_2 \Rightarrow \sup(\sigma \cap N_{j_1}) < \sup(\sigma \cap N_{j_2})$
- $\bigcirc_4 \ \sigma \in \ \mathrm{Dom}(h) \Rightarrow \ \mathrm{cf}(h(\sigma)) = \theta.$

Let e be a definable function in $(\mathcal{H}(\chi), \in, <^*_{\chi}, \lambda, \kappa)$ with $Dom(e) = \lambda + 1$ such that $e(\alpha) = e_{\alpha}$ is a club of α of order type $cf(\alpha)$, enumerated as $\langle e_{\alpha}(\zeta) : \zeta < cf(\alpha) \rangle$. Now for each $\sigma \in \bigcup_{i < \theta} \mathfrak{a}_i$ let

 $\odot_5 E_{\sigma} =: \{i < \theta : (\forall \zeta < \theta)[e_{h(\sigma)}(\zeta) \in N_i \Leftrightarrow \zeta < i], i \text{ is a limit ordinal and } \sup(N_i \cap \sigma) = \sup\{e_{h(\sigma)}(\zeta) : \zeta < i\}\}.$

Clearly E_{σ} is a club of θ , hence (on $\langle b_i^* : j < \theta \rangle$, see clause (viii) of Definition 2.1)

$$E = \{ \delta < \theta : \delta \text{ is a limit ordinal and } \sigma \in \cup \{ \mathfrak{a}_i : i < \delta \} \subseteq$$
$$\text{Reg } \cap \lambda^+ \backslash \theta^+ \Rightarrow \delta \in \text{acc}(E_\sigma) \text{ and } N_\delta \cap \theta = \delta \}$$

is a club of θ . For each $\delta \in E \cap S$ such that $C_{\delta} \subseteq E$, let $\delta^* := \sup(\kappa \cap N'_{\delta}) = \sup(\kappa \cap \bigcup_{y \in \mathscr{P}_{\delta}} N^*_y)$ so $\delta^* < \kappa$, and we define by induction on n models $M_{y,\delta,n}$ for every $y \in \mathscr{P}_{\delta}$, (really, they do not depend on δ).

First, $M_{y,\delta,0}$ is the Skolem Hull in M_{λ}^* of $\{i:i\in y\}\cup (N_{\delta}'\cap\kappa)$. Second, $M_{y,\delta,n+1}$ is the Skolem Hull in M_{λ}^* of $M_{y,\delta,n}\cup \{e_{h(\sigma)}(\zeta):\sigma\in (\text{Reg }\cap\lambda^+\setminus\theta^+)\cap M_{y,\delta,n} \text{ and }\zeta\in y\}$. Now we note

 $(*)_0$ if $y \in \{b_i^* : i < \zeta\}, \zeta \in C_\delta$ and $\delta \in E$ then $N_y^* \in N_\zeta$ hence $N_y^* \prec N_\zeta$.

[Why? By clause (ix) of \otimes of Definition 2.4 we have $N_y^* \in N_\zeta$; as $||N_y^*|| < \kappa < \theta$ and $N_\zeta \cap \theta \in \theta$ as $\zeta \in C_\zeta \subseteq E$ we have $N_y^* \subseteq N_\zeta$ hence $N_y^* \prec N_\zeta$.]

 $(*)_1$ if $\zeta \in E(\subseteq \theta)$ and $\sigma \in \text{Reg } \cap N_\zeta \cap \lambda^+ \setminus \theta \text{ then } e_{h(\sigma)}(\zeta) = \sup(N_\zeta \cap \sigma).$

[Why? By the choice of E.]

- (*)₂ assume $\delta \in S$ satisfies $\delta \in E$, moreover $C_{\delta} \subseteq E$; if $y \in \mathscr{P}_{\delta}$ and $\sigma \in N_y^* \cap \text{Reg } \lambda^+ \setminus \theta^+ \text{ then } (h(\sigma) \text{ has cofinality } \theta, \text{ the sequence } \langle e_{h(\sigma)}(\zeta) : \zeta < \theta \rangle$ is increasing continuous with limit $h(\sigma)$ and):
 - (i) if $y \in \{b_i^* : i < \zeta\}$ and $\zeta \in C_\delta$ then $\sup(N_\zeta \cap \sigma) = e_{h(\sigma)}(\zeta)$

- (ii) if $y \in \{b_i^* : i < \zeta\}, \zeta \in z \in \mathscr{P}_{\delta} \text{ and } y <_{\mathscr{P}_{\delta}} z \text{ then } y \in N_z, N_y^* \in N_z^*, N_y^* \prec N_z^* \text{ and } e_{h(\sigma)}(\zeta) \in N_z^*$
- (iii) $\{e_{h(\sigma)}(\zeta): \zeta \in C_{\delta}\}\$ is a subset of $N'_{\delta} = \bigcup_{z \in \mathscr{P}_{\delta}} N_z^*$
- (iv) the set above is an unbounded subset of $N'_{\delta} \cap \sigma$.

[Why? Clause (i): So we assume $\zeta \in C_{\delta}$ and $y \in \{b_i^* : i < \zeta\}$.

By $(*)_0$ we have $N_y^* \prec N_\zeta$. By the definition of E_σ as $\sigma \in N_y^* \prec N_\zeta \land \zeta \in E$ clearly $\zeta \in E_\sigma$ hence $\sup(N_\zeta \cap \theta) = e_{h(\sigma)}(\zeta)$ by $(*)_1$.

Clause (ii): So assume $y \in \{b_i^* : i < \zeta\}, \zeta \in z \text{ and } y <_{\mathscr{P}_{\delta}} z \text{ (so } y, z \in \mathscr{P}_{\delta}) \text{ hence } \mathscr{P}_{z,\zeta} = \{x \in \bigcup_{\alpha \in S} \mathscr{P}_{\alpha} : x \subseteq z \cap \zeta\} \text{ has cardinality } < \kappa \text{ and } z \cap \zeta \in N_z^* \text{ by clause }$

(x) of 2.4, so $\mathscr{P}_{z,\zeta} = \{x \in \cup \{\mathscr{P}_{\alpha} : \alpha < \delta\} : x \subseteq z \cap \zeta\} \in N_z^*$, so (as $N_z^* \cap \kappa \in \kappa$, $|\mathscr{P}_{z,\zeta}| < \kappa$) clearly $\mathscr{P}_{z,\zeta} \subseteq N_z^*$ hence $y \in N_z^*$. By clause (viii) of \otimes of Definition 2.4 it follows that $N_y^* \in N_z^*$. But $|N_y^*| < \kappa \wedge N_z^* \cap \kappa \in \kappa$ hence $N_y^* \subseteq N_z^*$ so $N_y^* \prec N_z^*$. But $\sigma \in N_y^*$ hence $\sigma \in N_z^*$. Also $N_\zeta \in N_z^*$ as $\zeta \in z \subseteq N_z^*$ recalling (viii) of 2.4 hence $e_{h(\sigma)}(\zeta) = \sup(N_\zeta \cap \sigma) \in N_z^*$ recalling (*)₁ so we have shown all clauses of (ii).

Clause (iii): So let $\zeta \in C_{\delta}$; by clause (vii)(β) of Definition 2.1 we know that $C_{\delta} = \bigcup \{y : y \in \mathscr{P}_{\delta}\}$ hence for some $y_1 \in \mathscr{P}_{\delta}$ we have $\zeta \in y_1$. By clause (x) of \otimes from Definition 2.4 we have $y_1 \subseteq N_{y_1}^*$ hence $\zeta \in N_{y_1}^*$. Also we are assuming in (*)₂ that $\sigma \in N_y^*, y \in \mathscr{P}_{\delta}$, so recalling \mathscr{P}_{δ} is directed, we can find $y_2 \in \mathscr{P}_{\delta}$ which is a common \subseteq -upper bound of y_1, y_2 hence $N_y^* \prec N_{y_2}^*, N_{y_1}^* \prec N_{y_2}^*$ hence $\sigma, \zeta \in N_{y_2}^*$.

By the choice of the function e and the model M_{λ}^* clearly e(-,-) is a function of M_{λ}^* , but the object \mathbf{x} belongs to $N_{y_2}^*$ and by its choice this implies that $e \in N_{y_2}^*$. By clause (viii) of 2.4 recalling $\zeta \in N_{y_2}^*$ we know that $N_{\zeta} \in N_{y_2}^*$ but $\sigma \in N_{y_2}^*$ hence by $(*)_1$ we have $\sup(N_{\zeta} \cap \sigma) \in N_{y_1}^*$. But we are assuming in $(*)_2$ that $C_{\delta} \subseteq E$ and, see above, $\zeta \in C_{\delta}$ so $\zeta \in E$ and $\zeta \in C_{\delta} \subseteq N_{\zeta}, \sigma \in N_{y_2}^* \subseteq N_{\delta}' \subseteq N_{\zeta}$ so $\sup(N_{\zeta} \cap \sigma) = e_{h(\sigma)}(\zeta)$ so by the previous sentence $e_{h(\sigma)}(\zeta) \in N_{y_2}^*$, hence $e_{h(\sigma)}(\zeta) \in N_{x}^*$: $x \in \mathscr{P}_{\delta} = N_{\delta}'$ as required.

Clause (iv): By clause (iii) it is $\subseteq N'_{\delta}$, and by the choice of the function e it is $\subseteq \sigma$ hence it is $\subseteq N'_{\delta} \cap \sigma$. Now $N'_{\delta} = \bigcup \{N^*_z : z \in \mathscr{P}_{\delta}\}$ and $z \in \mathscr{P}_{\delta} \Rightarrow N^*_z \prec N_{\delta}$ by $(*)_0$ hence $N'_{\delta} \subseteq N_{\delta}$. Now we know that $\langle e_{h(\sigma)}(\zeta) : \zeta < \delta \rangle$ is increasing with limit $e_{h(\sigma)}(\delta) = \sup(N_{\delta} \cap \sigma)$ hence is unbounded in it and even $\langle e_{h(\sigma)}(\zeta) : \zeta \in C_{\delta} \rangle$ is an unbounded subset of $e_{h(\sigma)}(\delta)$ and it is included in N'_{δ} as required.

So $(*)_2$ indeed holds.

Now (A), (B), (C), (D), (E) below clearly suffice to finish.

(A) (a) for
$$\delta \in S, y \in \mathscr{P}_{\delta}$$
 and $n < \omega$ we have $M_{y,\delta,n} \subseteq N'_{\delta} = \bigcup_{z \in \mathscr{P}_{\delta}} N_z^*$.

[Why? We prove this by induction on n. First assume $n=0, M_{y,\delta,n}$ is the Skolem hull of $y \cup (N'_{\delta} \cap \kappa)$ in the model M^*_{λ} , well defined as $y \subseteq \lambda$ hence $y \subseteq M^*_{\lambda}$ and $N' \cap \kappa \subseteq \kappa \subseteq \lambda$. As $y \subseteq N^*_{y} \subseteq N'_{\delta}$ and $M^*_{\lambda} \in N^*_{y} \subseteq N'_{\delta}$ clearly $M_{y,\delta,n} \subseteq N'_{\delta}$. Second, assume n=m+1 and $M_{y,\delta,m} \subseteq N'_{\delta}$. Now $M_{y,\delta,n}$ in the Skolem hull of $M_{y,\delta,m} \cup \{e_{h(\sigma)}(\zeta) : \sigma \in M_{y,\delta,m} \cap \operatorname{Reg} \cap (\lambda^+ \backslash \theta^+) \text{ and } \zeta \in y\}$, so it is enough to show that: if $\sigma \in M_{y,\delta,m}$ (hence $\sigma \in N'_{\delta}$) and $\sigma \in \operatorname{Reg} \cap \lambda^+ \backslash \theta^+$ and $\zeta \in y$ then $e_{h(\sigma)}(\zeta) \in N'_{\delta}$. But by $(*)_2(iii)$ this holds.

(b) for $z \subseteq y$ in \mathscr{P}_{δ} we have $M_{z,\delta,n} \subseteq M_{y,\delta,n}$.

[Why? Just by their choice, i.e. we prove this by induction on $n < \omega$.]

(c) for $y \in \mathscr{P}_{\delta}$ and $m \leq n$ we have $M_{y,\delta,m} \subseteq M_{y,\delta,n}$.

[Why? Just by their choice, i.e. we prove this by induction on n.]

(d) $M'_{\delta} := \bigcup \{M_{y,\delta,n} : y \in \mathscr{P}_{\delta} \text{ and } n < \omega\} \text{ is } \prec N'_{\delta}.$

[Why? By the above.]

(e) if $\zeta \in z$ (hence $\zeta \in C_{\delta} \subseteq E$), $\{y, z\} \subseteq \mathscr{P}_{\delta}$, $\sup(y) < \zeta, y < \mathscr{P}_{\delta} z$ and $\sigma \in \text{Reg } \cap \lambda^+ \backslash \theta^+ \text{ then: } \sigma \in N_y^* \prec N_{\zeta} \Rightarrow e_{h(\sigma)}(\zeta)$ $= \sup(\sigma \cap N_{\zeta}) \in N_z^*$.

[Why? By $(*)_2(i) + (ii)$ this holds.]

- (B) We can also prove that $\langle M_{y,\delta,n} : n < \omega, y \in \mathscr{P}_{\delta} \rangle$ is definable in $(\mathscr{H}(\chi), \in ,<^*_{\chi})$ from the parameters $\delta, M^*_{\lambda}, (\bar{C}, \bar{\mathscr{P}})$ and $h \upharpoonright a_i$, all of them belong to M^* , hence the sequence, and $M'_{\delta} = \cup \{M_{y,\delta,n} : n < \omega, y \in \mathscr{P}_{\delta}\}$, belongs to M^*
- (C) $M'_{\delta} \cap \text{Reg } \cap (\theta, \lambda^+) \text{ is a subset of } \mathfrak{a}_{\delta}.$

[Why? Use (A)(a) and definition of a_i, \mathfrak{a}_i).]

(D) if $\sigma \in M'_{\delta}$ and $\sigma \in \text{Reg } \cap \lambda^+ \setminus \kappa \text{ then } \sigma \cap M'_{\delta}$ is unbounded in $\sigma \cap N'_{\delta}$.

[Why? When $\sigma > \theta$ use $(*)_2(iii)$, (iv). For $\sigma = \theta$ we have $N'_{\delta} \cap \theta \subseteq N_{\delta} \cap \theta = \delta$ as $\delta \in E$ and $C_{\delta} \subseteq \delta = \sup(C_{\delta})$ so it is enough to show $C_{\delta} \subseteq N'_{\delta}$, but C_{δ} is equal to $\bigcup_{y \in \mathscr{P}_{\delta}} y$. For $\sigma = \kappa$ see the choice of $M_{y,\delta,0}$. So as $\theta = \kappa^+$ we are done.]

(E)
$$M'_{\delta} \cap \lambda = N'_{y} \cap \lambda$$
.

[Why? By (A)(a) we have one inclusion, the \subseteq . By the choice of M_{λ}^* and clause (D) the result follows by [Sh 400, 3.3A,5.1A] recalling $N_{\delta}' \cap \kappa \in \kappa$.]

But to get normality of the filter we better define

2.9 Definition. Assume $\theta = \operatorname{cf}(\theta) > \kappa = \operatorname{cf}(\kappa) > \aleph_0, (\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^*[\theta, \kappa]$ and X is a set, of cardinality $\geq \theta$ for simplicity and let χ be large enough. We define a filter $\mathscr{D}_{(\bar{C}, \bar{\mathscr{P}})}[X]$ on $[X]^{<\kappa}$ as the set of $Y \subseteq [X]^{<\kappa}$ such that for some $\mathbf{x} \in \mathscr{H}(\chi)$, for every sequence $\langle N_{\alpha}, N_a^* : \alpha < \theta, a \in \bigcup_{\delta \in S} \mathscr{P}_{\delta} \rangle$ satisfying \otimes below, there is $A \in \operatorname{id}^a(\bar{C})$

such that
$$\mathbf{x} \in \bigcup_{a \in \mathscr{P}_{\delta}} N_a^* \& \delta \in S(\bar{C}) \backslash A \Rightarrow \bigcup_{a \in \mathscr{P}_{\delta}} N_a^* \cap X \in Y$$
 where

 \otimes as in Definition 2.4 omitting $\mathbf{x} \in N_{\alpha}$.

2.10 Claim. Let $(\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^*[\theta, \kappa]$.

- 1) An χ such that $\mathscr{P}(X) \subseteq \mathscr{H}(\chi)$ can serve in Definition 2.9, and $\mathbf{x} = Y$ can serve.
- 2) If X_1, X_2 are sets of cardinality $\lambda \geq \chi$ and f is a one-to-one function from X_1 onto X_2 , then f maps $\mathcal{D}_{(\bar{C}, \bar{\mathscr{P}})}(X_1)$ onto $\mathcal{D}_{(\bar{C}, \bar{\mathscr{P}})}(X_2)$.
- 3) If $X_1 \subseteq X_2$ has cardinality $\geq \theta$ then $Y \in \mathscr{D}_{(\bar{C}, \bar{\mathscr{P}})}[X_1] \Rightarrow \{u \in [X_2]^{<\kappa} : u \cap X_1 \in Y\} \in \mathscr{D}_{(\bar{C}, \bar{\mathscr{P}})}[X_2]$ and $Y \in \mathscr{D}_{(\bar{C}, \bar{\mathscr{P}})}(X_2) \Rightarrow \{u \cap X_1 : u \in Y\} \in \mathscr{D}_{(\bar{C}, \bar{\mathscr{P}})}(X_1)$.
- 2) For any set X of cardinality $\geq \kappa$, really $\mathscr{D}_{(\bar{C},\bar{\mathscr{P}})}(X)$ is a fine normal filter on X, i.e.:
 - (a) fine: $t \in X \Rightarrow \{u \in [X]^{<\kappa} : t \in u\} \in \mathcal{D}_{(\bar{C}, \bar{\mathscr{P}})}(X)$
 - (b) normal: if $Y_t \in \mathcal{D}_{(\bar{C},\bar{\mathcal{P}})}(X)$ for $t \in X$ then $Y := \Delta\{Y_t : t \in X\} = \{u \in [X]^{< kappa} : u \neq \emptyset \text{ and } t \in u \Rightarrow u \in Y_t\}.$

Proof. 1),2) Easy.

- 3) The "fine" is trivial and for normal let \mathbf{x}_t be a witness for $Y_t \in \mathcal{D}_{(\bar{C}, \bar{\mathscr{P}})}[X]$ now $\mathbf{x} = \langle \mathbf{x}_t : t \in x \rangle$ witness that $Y \in \mathcal{D}_{(\bar{C}, \bar{\mathscr{P}})}[X]$.
- **2.11 Claim.** Let $(\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^*[\theta, \kappa]$.
- 1) $\mathscr{D}_{(\bar{C},\bar{\mathscr{P}})}(\lambda) \supseteq \mathscr{D}_{(\bar{C},\bar{\mathscr{P}})}[\lambda].$
- 2) In 2.6 we can replace $\mathcal{D}_{(\bar{C},\bar{\mathscr{P}})}(\lambda)$ by $\mathcal{D}_{(\bar{C},\bar{\mathscr{P}})}[\lambda]$.
- 3) Assume that $\operatorname{cf}(\lambda) \geq \kappa$ and $\beta < \alpha \Rightarrow \lambda > \operatorname{cov}(|\beta|, \kappa, \kappa, 2)$. Then there is $S \in \mathscr{D}_{(\bar{C}, \bar{\mathscr{P}})}(\lambda)$ such that $\alpha < S \Rightarrow \lambda > |\{u \in S : u \subseteq \alpha\}|$.

Proof. 1) Trivial.

- 2) Repeat the proof, the change is minor.
- 3) We can find $\mathcal{Q} = \{u_i : i < \lambda\} \subseteq [\lambda]^{<\kappa}$ which is cofinal such that $\forall \alpha < \lambda(\beta)(\alpha)[\alpha \leq \beta < \lambda \land [\{u_i : i < \beta, u_i \subseteq \alpha\}] \text{ is cofinal in } [\alpha]^{<\kappa}.$
- 2.12 Remark. In 2.6 we can replace $\theta = \kappa^+$ by $\theta > \kappa_{\sigma} > \sigma = \mathrm{cf}(\sigma)$ and $\alpha < \theta \Rightarrow |\alpha|^{<\sigma>_{\mathrm{tr}}} < \theta$ and $\delta \in S(\bar{C}) \Rightarrow \mathrm{cf}(\delta) = \sigma$.

Proof. Fill.

- 2.13 Conclusion. Suppose $\lambda > \kappa > \aleph_0$ are regular cardinals and $(\forall \mu < \lambda)[\text{cov}(\mu, \kappa, \kappa, 2) < \lambda]$.
- 1) If for $\alpha < \lambda$, a_{α} is a subset of λ of cardinality $< \kappa$ and $S \in \mathscr{D}_{<\kappa}(\lambda)$ and $T_1 \subseteq \{\delta < \lambda : \operatorname{cf}(\delta) \ge \kappa\}$ is stationary, then we can find a stationary $T_2 \subseteq T_1, c \subseteq \lambda$ and $\langle b_{\delta} : \delta \in T \rangle$ such that:

$$a_{\delta} \subseteq b_{\delta} \in S \text{ for } \delta \in T_2$$

$$b_{\delta} \cap \delta = c \text{ for } \delta \in T_2.$$

2) If in addition $(\bar{C}, \bar{\mathscr{P}}) \in \mathscr{T}^*[\kappa^+, \kappa]$ and $S \in (\mathscr{D}_{(\bar{C}, \bar{\mathscr{P}})}(\lambda))^+$ then part (1) holds for this S.

Remark. See on this and on 2.15 Rubin Shelah [RuSh 117, 4.12,pg.76] and [Sh 371, §6]. There we do not know that $(\forall \mu < \lambda)[\text{cov}(\mu, \kappa, \kappa, 2) < \lambda]$ implies (as proved ehre) that

 $\boxtimes_{\lambda,\kappa}$ for each $\alpha < \lambda$ we can find S_{α} a stationary $S_{\alpha} \subseteq [\alpha]^{<\lambda}$ of cardinality $<\lambda$; moreover such that $\{\alpha\} \cup u : u \in S_{\alpha}, \alpha < \lambda\} \subseteq [\lambda]^{<\kappa}$ is stationary, (if λ is a successor cardinal, the moreover follows. So the assumption there seems just what was used now. So we could just quote.

Proof. 1) By part (2).

- 2) For each $\alpha < \lambda$ let $S_{\alpha} \in \mathcal{D}_{(\bar{C}, \bar{\mathscr{P}})}[\alpha]$ be of cardinality $\operatorname{cov}(|\alpha|, \kappa, \kappa, 2)$.
- Let $S = \{u \in [\lambda]^{<\kappa} : \text{ if } \alpha \in u \setminus \kappa^+ \text{ then } u \cap \alpha \in S_\alpha\}$, so by 2.10 we know that $S \in \mathcal{D}_{(\bar{C}, \bar{\mathcal{P}})}[\lambda]$; and by 2.11(3) without loss of generality
 - $(*) \ \alpha < \lambda \Rightarrow \{u \in S : u \subseteq \alpha\} \text{ has cardinality} < \lambda.$

Now for each $\alpha < \lambda$ let $b_{\alpha} \in S$ be such that $a_{\alpha} \subseteq b_{\delta}$, clearly exist and let $h: T_1 \to \lambda$ be defined by $h(\delta) = \sup(b_{\delta} \cap \delta)$ so $\delta \in T_2 \Rightarrow h(\delta) < \delta$ as $\operatorname{cf}(\delta) \geq \kappa > |b_{\delta}|$. So for some $\gamma_* < \gamma$ the set $T'_2 := \{\delta \in T_1 : h(\delta) = \gamma_*\}$ is stationary and by (*) for some c the set $T_2 := \{\delta \in T'_2 : b_{\delta} \cap \delta = c\}$ is stationary. Let $E = \{\delta < \lambda : \delta \text{ is a limit ordinal such that } \alpha < \delta \Rightarrow b_{\alpha} \subseteq \delta\}$, it is a club of λ .

2.14 Conclusion. If $\lambda > \kappa > \aleph_0$, λ and κ are regular cardinals and $[\kappa < \mu < \lambda \Rightarrow \text{cov}(\mu, \kappa, \kappa, 2) < \lambda]$ then $\{\delta < \lambda : \text{cf}(\delta) < \kappa\} \in \check{I}[\lambda]$.

Proof. Use $\mu(3)$ of 2.6.

2.15 Claim. Let $(*)_{\mu,\lambda,\kappa}$ mean: if $a_i \in [\lambda]^{<\kappa}$ for $i \in S$ and $S \subseteq \{\delta < \mu : \operatorname{cf}(\delta) = \kappa\}$ is stationary, then for some $b \in [\lambda]^{<\kappa}$ the set $\{i \in S : a_i \cap i \subseteq b\}$ is stationary. Let $(*)_{\mu,\lambda,\kappa}^-$ be defined similarly but $\{i \in S : a_i \subseteq b\}$ only unbounded. Then for $\aleph_0 < \kappa < \lambda < \mu$ regular we have:

$$\operatorname{cov}(\lambda, \kappa, \kappa, 2) < \mu \Rightarrow (*)_{\mu, \lambda, \kappa} \Rightarrow (*)_{\mu, \lambda, \kappa}^{-}$$
$$\Rightarrow (\forall \lambda') [\kappa < \lambda' \leq \lambda \& \operatorname{cf}(\lambda') < \kappa \Rightarrow \operatorname{pp}_{<\kappa}(\lambda') < \mu].$$

Remark. So it is conceivable that the \Rightarrow are \Leftrightarrow . See [Sh 430, §3].

Proof. Straightforward.

 $\square_{2.15}$

Exercise: Generalize to the following filter.

Let $\theta = \operatorname{cf}(\theta) \geq \kappa = \operatorname{cf}(\kappa)$ and $S_* \subseteq [\theta]^{<\kappa}$ be stationary. For any set X of cardinality $\geq \theta$ we define a filter $\mathscr{D}^1_{S_*}[X]$ as follows: $Y \in \mathscr{D}_{S_*}[X]$ iff $Y \subseteq [X]^{<\kappa}$ and for any χ large enough there is $\mathbf{x} \in \mathscr{H}(\chi)$ such that if $\langle N_{\alpha}, f_{\alpha} : \alpha \leq \theta \rangle$ satisfy \circledast below, then for some $S' \in \mathscr{D}_{<\kappa}(\theta)$ for every $u \in S_* \cap S'$ we have:

if $\mathbf{x} \in f_{\theta}''(u)$ then $f''(u) \in Y$, when:

- \circledast (a) $N_{\alpha} \prec (\mathcal{H}(\chi), \in, <^*_{\chi})$
 - (b) N_{α} is \prec -increasing continuous
 - $(c) ||N_{\alpha}|| < |\alpha|^{+} + \theta$
 - (d) $\langle N_{\beta} : \beta \leq \alpha \rangle \in N_{\alpha+1} \text{ if } \alpha < \theta$
 - (e) can add $\langle \kappa, \theta, X, S_* \rangle \in N_0$.

§3 NICE FILTERS REVISITED

This generalizes [Sh 386] (and see there). See [Sh 410, §5] on this generalization of normal filters.

- 3.1 Convention. 1) **n** is a niceness context; we use κ , FILL, etc., for $\kappa_{\mathbf{n}}$, Fil_n = FIL(**n**) when dealing from the content.
- **3.2 Definition.** We say the **n** is a niceness context or a κ -niceness context or a (κ, μ) -niceness context if it consists of the following objects satisfying the following conditions:
 - (a) κ is a regular uncountable cardinal
 - (b) $I \subseteq {}^{\omega} > \omega$ is non-empty \triangleleft -downward closed with no \triangleleft -maximal member² default value is $\{0_n : n < \omega\}$
 - (c) let μ be $> \kappa$ and $\langle \mathscr{Y} : i < \kappa \rangle$ is a sequence of pairwise disjoint sets and $\mathscr{Y} \cup \{\mathscr{Y}_i : i < \omega_1\}$ so $i < \omega_1 \Rightarrow |\mathscr{Y}|, |\mathscr{Y}_i|$
 - (d) the function ι with domain $\mathscr Y$ is defined by $\iota(y)=i$ when $y\in\mathscr Y_i$
 - (e) **e** is a set of equivalence relations e on $\mathscr Y$ refining $\bigcup_{i<\omega_1}\mathscr Y_i\times\mathscr Y_i$ with $<\mu^*$ equivalence classes, each class of cardinality $|\mathscr Y|$
 - (f) for $e \in \mathbf{e}$, $\mathrm{FIL}(e) = \mathrm{FIL}(e, \mathbf{n})$ is a set of D such that:
 - (α) D is a filter on \mathscr{Y}/e ,
 - (β) for any club C of κ we have $\bigcup_{i \in C} \mathscr{Y}_i / e \in D$,
 - (γ) normality: if $X_i \in D$ for $i < \omega_1$ then the following set belongs to D: $\{(\delta, j)/e : (\delta, j) \in \mathscr{Y}, \delta \text{ limit and } i < \delta \Rightarrow (\delta, j) \in X_i\}$
 - (g) Suc $\in \{(D_1, D_2) : e(D_1) \le e(D_2)\}.$

Remark. For **e** an important case is when it is a singleton $\{\cup \{\mathscr{Y}_i \times \mathscr{Y}_i : i < \kappa\}\}$, so we are dealing with normal filters on the old case.

²For \mathscr{T} the two interesting cases are $\mathscr{T} = {}^{\omega>}\omega$ and $\mathscr{T} = \{<>\}$ and ${}^{\omega>}\{0\}$. The default value will be ${}^{\omega>}\omega$.

- **3.3 Definition.** Let **n** be a κ -niceness context.
- 1) We say $e_1 \leq e_2$ if e_2 refines e_1 . If not said otherwise, every e is from e. Let e_{μ} be the set of all such equivalence relations with $< \mu$ equivalence classes. Let $\iota(x/e) = \iota(x)$.
- 2) FIL = FIL(\mathbf{n}) is $\bigcup_{e \in \mathbf{e}}$ FIL(e, \mathbf{n}). For $D \in$ FIL, let e = e[D] be the unique $e \in \mathbf{e}$ such that $D \in$ FIL(e, \mathbf{n}).
- 3) For $D \in \operatorname{FIL}(e)$ let $D^{[*]} = \{X \subseteq \mathscr{Y} : X^{[*]} \in D\}$; see (5) below.
- 4) For $D \in \text{FIL}(\mathbf{n})$ and $e(1) \ge e(D)$, let $D^{[e(1)]} = \{X \subseteq \mathscr{Y}/e(1) : X^{[*]} \in D^{[*]}\}$, see (5) below.
- 5) For $A \subseteq \mathcal{Y}/e$, $A^{[*]} = \{(x/e) : (x/e) \in A\}$, and for $e(1) \ge e$ let $A^{[e(1)]} = \{y/e(1) : y/e \in A\}$.
- **3.4 Definition.** 1) For $D \in FIL(e, \mathbf{n})$, let D^+ be $\{Y \subseteq \mathcal{Y}/e : Y \neq \emptyset \mod D\}$.
- 2) **n** is 1-closed if $D \in \text{FIL}(\mathbf{n}), A \in D^+ \Rightarrow D + A \in \text{FIL}(\mathbf{n}).$
- 3) **n** is 0-closed if for every $D_1 \in \operatorname{FIL}_{\mathbf{n}}$ and $A \in D_1^+$ there is $D_2 \in \operatorname{FIL}_2$ such that $(D_1 + A) \in (D_2) \subseteq D_2$.
- 4) A niceness context **n** is full <u>if</u>
 - (a) for every $e \in \mathbf{e_n}$, every filter on $\mathscr{Y}_{\mathbf{n}}/e$ which is normal (with respect to the function $\iota_{\mathbf{n}}$) belong to $\mathrm{FIL}_{\mathbf{n}}(e)$.
- 4A) A niceness content **n** is semi-full when: for every $e_1 \in \mathbf{e_n}$ and $D_1 \in \mathrm{FIL}_{\mathbf{n}}(e_1)$ and $e_2, e_1 \leq e_2 \in \mathbf{e_n}$ and $\mathscr{A} \subset \mathscr{P}(\mathscr{Y}_{\mathbf{n}}/e_2)$ lift $(W) \in \mathrm{FIL}(e_2)$ whenever
- $(*)_{e_1,e_2,D_1,W}$ (a) $e_1 \le e_2$ in $\mathbf{e_n}$
 - $(b) \quad D_1 \in \operatorname{FIL}_n(e_2)$
 - (c) $\mu \ge 2^{(\mathcal{Y}/e_2)}$ (or more ???)
 - (d) $W \subseteq [\mu]^{\leq \aleph_0}$ is stationary
 - (e) $D_2 = \operatorname{lift}(W, D_1^{[e_2]})$ is normal (i.e. $\emptyset \in \operatorname{lift}(W, D_1)$).
 - 5) A niceness context **n** is thin when

$$\operatorname{Suc}_{\mathbf{n}} = \{ (D_1, D_2) : D_1 = D_2 \in \operatorname{FIL}_{\mathbf{n}} \text{ and } D_2 = D_1^{[e_1]} + A \text{ for some } A \in (D_1^{[e_1]})^+ \}.$$

6) A niceness context **n** is thick if: $\operatorname{Suc}_{\mathbf{n}} = \{(D_1, D_2) : D_1, D_2 \in \operatorname{FIL}_{\mathbf{n}}, e(D_1) \leq e(D_2) \text{ and } D_1^{[e_2]} \subseteq D_2 \text{ and if } \mu = 2^{|\mathscr{Y}_{\mathbf{n}}/e_2)}, W_1 \subseteq [\mu]^{\leq \aleph_0} \text{ is stationary and } \operatorname{lift}(W, D_1) = D_1 \text{ then for some stationary } W_2 \subseteq W_1 \text{ we have } \operatorname{lift}(W_2, D_2) = D_2\}.$

Remark. 1) On lift see Definition 3.17, HERE??

2) We can use more freedom in the higher objects.

3.5 Claim. Assume

- (a) the κ -niceness context is thick
- (b) $D_1 \in \mathrm{FIL}_{\mathbf{n}}(e_1)$
- (c) $e_1 \leq e_2 \in \mathbf{e_d}$
- (d) for each $y \in \mathscr{Y}_{\mathbf{n}}/e_1, \langle z_{y,\varepsilon} : \varepsilon < \varepsilon_y \rangle$ list $\{z/e_2 : z \in y_1\}, d_{y,\varepsilon}$ is a κ -complete filter on ε_y
- (e) $D_2 \in \mathrm{FIL}_{\mathbf{n}}(e_2)$
- (f) if $A \in D_2$ then $\{y \in \mathscr{Y}_{\mathbf{n}}/e_1 : \{\varepsilon < \varepsilon_y : z_{y,\varepsilon} \in A\} \in d_{y,\varepsilon}\}$ belongs to D_1 .

Then $D_2 \in \operatorname{Suc}_{\mathbf{n}}(D_1)$.

<u>Discussion</u>: We may consider allowing player I, in the beginning of each move to choose W_n as above.

- **3.6 Definition.** (0) For $f: \mathscr{Y}/e \to X$ let $f^{[*]}: \mathscr{Y} \to X$ be $f^{[*]}(x) = f(x/e)$. We say $f: \mathscr{Y} \to X$ is supported by e if it has the form $g^{[*]}$ for some $g: \mathscr{Y}/e \to X$. If $e_1, e_2 \in \mathbf{e}$ and $f_\ell: \mathscr{Y}/e_\ell \to X$ for $\ell = 1, 2$ then: we say $f_1 = f_2^{[e_1]}$ if $f_1^{[*]} = f_2^{[*]}$. Writing $f^{[*]}$ for $f \in {}^{\omega_1}X$ we identify $\{i\}, i < \omega_1$ with \mathscr{Y}_i .
- (1) Let $F_c(\mathcal{T},e) = F_c(\mathcal{T},e,\mathcal{Y})$ be the family of \bar{g} , a sequence of the form $\langle g_{\eta}: \eta \in u \rangle$, $u \in f_c(\mathcal{T}) =$ the family of non-empty finite subsets of $\omega > \omega$ closed under taking initial segments, and for each $\eta \in u$ we have $g_{\eta} \in {}^{\mathcal{Y}}$ Ord is supported by e. Let $\mathrm{Dom}(\bar{g}) = u$, $\mathrm{Range}(\bar{g}) = \{g_{\eta}: \eta \in u\}$. We let $e = e(\bar{g})$, for the minimal possible e assuming it exists and we shall say $g_{\eta} <_D g_{\nu}$ instead $g_{\eta} <_{D^{[*]}} g_{\nu}$ and not always distinguish between $g \in {}^{\mathcal{Y}/e}$ Ord and $g^{[*]}$ in an abuse of notation.
- (2) We say \bar{g} is decreasing for D or D-decreasing (for $D \in \mathrm{FIL}(e, I)$) if $\eta \triangleleft \nu \Rightarrow g_{\nu} \triangleleft_{D} g_{\eta}$.
- (3) If $u = \{ \langle \rangle \}$, $g = g_{\langle \rangle}$ we may write g instead $\langle g_{\eta} : \eta \in u \rangle$.
- **3.7 Definition.** 1) For $e \in \mathbf{e}, D \in \mathrm{FIL}(e)$ and D-decreasing $\bar{g} \in F_c(\mathcal{T}, e)$ we define a game $\partial^*(D, \bar{g}, e) = \partial^*(D, \bar{g}, e, \mathbf{n})$. In the nth move (stipulating $e_{-1} = e$, $D_{-1} = D, \bar{g}_{-1} = \bar{g}$):

the case n is then

player I chooses $e_n \geq e_{n-1}$ and $A_n \subseteq \mathscr{Y}/e_n$, $A_n \neq \emptyset \mod D_{n-1}^{[e_n]}$ and he chooses $\bar{g}^n \in F_c(\mathscr{T}, e_n)$ extending \bar{g}_{n-1} (i.e. $\bar{g}^{n-1} = \bar{g}^n \upharpoonright \mathrm{Dom}(\bar{g}_{n-1})$), \bar{g}^n supported by e_n and \bar{g}^n is $(D_n^{[e_n]} + A_n)$ -decreasing, player II chooses $D_n \in \mathrm{FIL}(e_n)$ extending $D_{n-1}^{[e_n]} + A_n$.

In the general case:

Player I chooses e_n and $D_{n,1} \in \operatorname{Duc}_{\mathbf{n}}(D_{n-1})$ and let $e_n = e(D_{n-1})$ and he chooses $\bar{g}^n \in F \subset (\mathscr{T}, e(D_{n-1}))$ which is extending \bar{g}^{n-1} then $\eta \in \operatorname{Dom}(\bar{g}^n)$ (i.e. $\bar{g}^{n-1} = \bar{g}^n \upharpoonright \operatorname{Dom}(\bar{g}^{n-1}), \bar{g}^n$ supported by $e(D_{n,1})$ and \bar{g}^n is $D_{n,1}$ -decreasing.

Player II chooses $D_n = D_{n,2} \in \operatorname{FIL}(\mathbf{e}_n)$ extending $D_{n,1}$.

In the end, the second player wins if $\bigcup_{\bar{g}} \operatorname{Dom}(\bar{g}^n)$ has no infinite branch.

- 2) Let $\bar{\gamma}$ be such that $\mathrm{Dom}(\bar{\gamma}) = \mathrm{Dom}(\bar{g})$ and each γ_{η} is an ordinal decreasing with η . Now $\partial^{\bar{\gamma}}(D, \bar{g}, e)$ is defined similarly to $\partial^*(D, \bar{g}, e)$ but the second player has in addition, to choose an ordinal α_{η} for $\eta \in \mathrm{Dom}(\bar{g}^n) \setminus \bigcup_{\ell \in \mathbb{R}} \mathrm{Dom}(\bar{g}^{\ell})$ such that
- $[\eta \triangleleft \nu \& \nu \in \mathrm{Dom}(\bar{g}^{n-1}) \Rightarrow \alpha_{\nu} < \alpha_{\eta}] \text{ we let } \alpha_{\eta} = \gamma_{\eta} \text{ for } \eta \in \mathrm{Dom}(\bar{g}).$
- 3) $w \ni^*(D, \bar{g}, e)$ and $w \ni^{\bar{\gamma}}(D, \bar{g}, e)$ are defined similarly but e is not changed during a play. (If e.g. $\mathbf{e} = \{e\}$ then this makes not difference.)
- 4) If $\bar{\gamma} = \langle \gamma_{<>} \rangle$, $\bar{g} = \langle g_{<>} \rangle$ we write $\gamma_{<>}$ instead $\bar{\gamma}$, $g_{<>}$ instead \bar{g} .
- 5) If $E \subseteq FIL$ the games ∂_E^* , $\partial_E^{\bar{\gamma}}$ are defined similarly, but player II can choose filters only from E (so we naturally assume to have $A \in D^+$, $D \in E \Rightarrow D + A \in E$).
- 3.8 Remark. Denote the above games $\partial_0^*, \partial_0^{\bar{\gamma}}, w \partial_0^*$. Another variant is
- 3) For $e \in \mathbf{e}$, $D \in \mathrm{FIL}(e)$ and D-decreasing $\bar{g} \in F_c(\mathscr{T})$ we define a game $\partial_1^*(D, \bar{g}, e)$. We stipulate $e_{-1} = e$, $D_{-1} = D$.

In the nth move first player chooses $e_n, e_{n-1} \leq e_n \in \mathcal{T}$ and $D'_n \in \mathrm{FIL}(e_n)$ and D'_n -decreasing \bar{g}^n extending \bar{g}^{n-1} such that $(D_{n-1} + A_n)^{[e_n]} \subseteq D_n$ and:

- (*) for some $A_n \subseteq \mathscr{Y}/e_{n-1}, A_n \neq \emptyset \mod D_{n-1}$ we have:
 - (i) D'_n is the normal filter on \mathscr{Y}/e_n generated by $(D_{n-1}+A_n)^{[e_n]} \cup \{A^n_{\zeta}: \zeta < \zeta^*_n\}$ where for some $\langle C_{\zeta}: \zeta < \zeta_n \rangle$ we have:
 - (a) each C_{ζ} is a club of ω_1 ,
 - (b) if $\zeta_{\ell} < \zeta_n^*$ for $\ell < \omega$, $i \in \bigcap_{\ell < \omega} C_{\zeta_{\ell}}$, $x \in \mathscr{Y}/e_{n-1}$, and $\iota(x) = i$, then for

some
$$x' \in \mathscr{Y}/e_n$$
, we have $x' \subseteq x$, $x' \in \bigcap_{\ell < \omega} A_{\zeta_{\ell}}^n$.

The first player also chooses \bar{g}^n extending \bar{g}^{n-1} , D'_n -decreasing. Then second player chooses D_n such that $D'_n \subseteq D_n \in \mathrm{FIL}(e_n)$.

- 2) We define $\partial_1^{\gamma}(D, \bar{g}, e)$ as in (2) using ∂_1^* instead of ∂_0^* .
- 3) If player II wins, e.g. $\partial_E^{\bar{\gamma}}(D, \bar{f}, e)$ this is true for

$$E' =: \{D' \in G : \text{ player II wins } \partial_{E^*}^{\bar{\gamma}}(D', \bar{f}, e)\}.$$

- **3.9 Definition.** 1) We say $D \in \text{FIL}$ is nice to $\bar{g} \in F_c(\mathcal{T}, e, \mathcal{Y})$, e = e(D), if player II wins the game $\partial^*(D, \bar{q}, e)$ (so in particular \bar{q} is D-decreasing, \bar{q} supported by e).
- 2) We say $D \in \text{FIL}$ is nice $\underline{i}\underline{f}$ it is nice to \bar{g} for every $\bar{g} \in F_c(\mathcal{T}, e)$.
- 3) We say D is nice to α if it is nice to the constant function α . We say D is nice to $g \in {}^{\kappa}\text{Ord}$ if it is nice to $g^{[e(D)]}$.
- 4) "Weakly nice" is defined similarly but e is not changed.
- 5) Above replacing D by **n** means: for every $D \in \operatorname{FIL}_{\mathbf{n}}$.
- 3.10 Remark. "Nice" in [Sh 386] is the weakly nice here, but
 - (a) we can use **n** with $\mathbf{e_n} = \{e\}$
 - (b) formally they act on different objects; but if $xey \Leftrightarrow \iota(x) = \iota(y)$ we get a situation isomorphic to the old one.
- **3.11 Claim.** Let $D \in FIL$ and e = e(D).
- 1) If D is nice to f, $f \in F_c(\mathcal{T}, e), g \in F_c(\mathcal{T}, e)$ and $g \leq f$ then D is nice to f.
- 2) If D is nice to f, $e = e(D) \le e(1) \in \mathbf{e}$ then $D^{[e(1)]}$ is nice to $f^{[e(1)]}$.
- 3) The games from 3.7(2) are determined and winning strategies do not need memory.
- 4) D is nice to \bar{g} iff D is nice to $g_{<>}$ (when $\bar{g} \in F_c(\mathscr{T}, e)$ is D-decreasing).
- 5) If $\mathbf{e} \subseteq \mathbf{e}$ and for simplicity $\bigcup_{i < \omega_1} \{i\} \times \mathscr{Y}_i \in \mathbf{e}$ and for every $e \in \mathbf{e}, e \leq e(1) \in \mathbf{e}$ for

some permutation π of $\bar{\mathscr{Y}}$ (i.e. a permutation of \mathscr{Y} mapping each \mathscr{Y}_i ($i < \omega_1$) onto itself) (and \mathbf{n} is full for simplicity) we have $\pi(e) = e, \pi(e(1)) \leq e(2) \in \mathbf{e}$ then we can replace \mathbf{e} by \mathbf{e} .

6) For $\mathbf{e} = \mathbf{e}_{\mu}$ (where $\mu \leq \mu^*$) there is \mathbf{e} as above with: $|\mathbf{e}|$ countable if μ is a successor cardinal ($> \aleph_1$), $|\mathbf{e}| = \mathrm{cf}(\mu)$ if μ is a limit cardinal.

Proof. Left to the reader. (For part (4) use 3.12(2) below).

- **3.12 Claim.** 1) Second player wins $\partial^*(D, \bar{g}, e)$ iff for some $\bar{\gamma}$ second player wins $\partial^{\bar{\gamma}}(D, \bar{g}, e)$.
- 2) If second player wins $\partial^{\gamma}(D, f, e)$ then for any D-decreasing $\bar{g} \in F_c(\mathcal{T}, e), \bar{g}$ supported by e and $\bigwedge_{\eta, y} g_{\eta}(y) \leq f(y)$, the second player wins in $\partial^{\bar{\gamma}}(D, \bar{g}, e)$, when we let

$$\gamma_{\eta} = \gamma + [\max\{(\ell g(\nu) - \ell g(\eta) + 1) : \nu \text{ satisfies } \eta \leq \nu \in Dom(\bar{g})\}].$$

3) If $u_1, u_2 \in F_c(\mathcal{T}), h: u_1 \to u_2$ satisfies $[\eta \nu \Leftrightarrow h(\eta)h(\nu)]$ and for $\ell = 1, 2$ we have $\bar{g}^{\ell} \in F_c(\mathcal{T}, e_2), g_{\eta}^1 \geq g_{h(\eta)}^2$ (for $\eta \in u_1$), $\bar{\gamma}^{\ell} = \langle \gamma_{\eta}^{\ell} : \eta \in u_{\ell} \rangle$ is a \triangleleft -decreasing sequence of ordinals, $\gamma_{\eta}^2 \geq \gamma_{h(\eta)}^2$ and the second player wins in $\bar{\partial}^{\bar{\gamma}^2}(D, \bar{g}^2, e)$ then the second player wins in $\bar{\partial}^{\bar{\gamma}^1}(D, \bar{g}^1, e)$.

Proof. 1) The "if part" is trivial, the "only if part" [FILL] is as in [Sh 386]. 2), 3) Left to the reader.

The following is a consequence of a theorem of Dodd and Jensen [DoJe81]:

- **3.13 Theorem.** If λ is a cardinal, $S \subseteq \lambda$ then:
- (1) **K**[S], the core model, is a model of $ZFC + (\forall \mu \geq \lambda)2^{\mu} = \mu^{+}$.
- (2) If in $\mathbf{K}[S]$ there is no Ramsey cardinal $\mu > \lambda$ (or much weaker condition holds) then $(\mathbf{K}[S], \mathbf{V})$ satisfies the μ -covering lemma for $\mu \geq \lambda + \aleph_1$; i.e. if $B \in \mathbf{V}$ is a set of ordinals of cardinality $\leq \mu$ then there is $B' \in \mathbf{K}[S]$ satisfying $B \subseteq B'$ and $\mathbf{V} \models |B'| \leq \mu$.
- (3) If $\mathbf{V} \models (\exists \mu \geq \lambda)(\exists \kappa)[\mu^{\kappa} > \mu^{+} > 2^{\kappa}]$ then in $\mathbf{K}[S]$ there is a Ramsey cardinal $\mu > \lambda$.

3.14 Lemma. Suppose

- (a) **n** is a semi-full niceness content thin or medium $\kappa = \aleph_1$
- (b) $f^* \in {}^{\kappa}\mathrm{Ord}, \ \lambda > \lambda_0 =: \sup\{(2^{|\mathscr{Y}/e|^{\aleph_0}}) : e \in \mathbf{e_n}\}$
- (c) for every $A \subseteq \lambda_0$, in K there is a Ramsey cardindal $> \lambda_0$, then for every filter $D \in FIL_n(e)$ is nice to f^* .

Remark. 1) The point in the proof is that via forcing we translate the filters from $\mathrm{FIL}(e,\mathscr{Y})$ to normal filters on κ [for higher κ 's cardinal restrictions are better].

2) At present we do not care too much what is the value of λ_0 , i.e., equivalently, how much we like the set S to code.

Saharon: compare with [Sh:g, V], i.e., improve as there! But if we use $\mathbf{e} = \{e\}$, the proofs are more similar to [Sh:g, V] we can consider just Levy(\aleph_1), |D|), now in some proofs we may consider filters generated by $|\operatorname{pcf}(\mathfrak{a})|$ set $|\mathfrak{a}| < \operatorname{aleph}_{\omega}$.

First Proof. Without loss of generality $(\forall i) f(i) \geq 2$. Let $S \subseteq \lambda_0$ be such that $[\alpha < \mu \& A \subseteq 2^{|\alpha|^{\aleph_0}} \Rightarrow A \in \mathbf{L}[S]], \mathbf{e} \in \mathbf{L}[S]$ (see 3.11(6)) and: if $g \in {}^{\kappa}\mathrm{Ord}$, $(\forall i < i)$

 $\kappa_1)g(i) \leq f(i)$ then $g \in \mathbf{L}[S]$ (possible as $\prod_{i < \omega_1} |f(i) + 1| \leq \lambda_0$. We work for awhile in $\mathbf{K}[S]$. In $\mathbf{K}[S]$ there is a Ramsey cardinal $\mu > \lambda_0$ (see 3.13(3)). Let in $\mathbf{K}[S]$. Let

$$Y_0 = \{X : X \subseteq \mu, X \cap \kappa \text{ a countable ordinal } > 0, \{\kappa, \lambda_0\} \subseteq X,$$

moreover $X \cap \lambda_0$ is countable $\}$.

Let

$$Y_* = Y_1 = \{X \in Y_0 : X \text{ has order type } \geq f(X \cap \kappa)\}.$$

Now for $g \in {}^{\kappa}$ Ord such that $\bigwedge_{i < \omega_1} g(i) < f(i)$ let \hat{g} be the function with domain Y_1 , $\hat{g}(X) = \text{the } g(X \cap \kappa)$ -th member of X.

Let $D_* = \{A_i : \kappa \leq i \leq 2^{|\mathscr{Y}/e|}\}$ and we arrange $\langle A_i^D : \kappa \leq i < 2^{|\mathscr{Y}/e|} \rangle \in \mathbf{L}[S]$, (as \mathscr{Y}/e has cardinality $< \mu^*$, so $2^{|\mathscr{Y}/e|} \leq \lambda_0$).

Let J be the minimal fine normal ideal on Y (in $\mathbf{K}[S]$) to which $Y \setminus Y_D$ belongs where

$$Y_D = \{X : X \in Y_* \text{ and } i \in (\kappa, 2^{|\mathscr{Y}/e|}) \cap X \Rightarrow X \cap \omega_1 \in A_i\}.$$

Clearly it is a proper filter as $\mathbf{K}[S] \models "\mu$ is a Ramsey cardinal".

3.15 Observation. Assume

- (a) \mathbb{P} is a proper forcing notion of cardinality $\leq |\alpha|^{\aleph_0}$ for some $\alpha < \mu^*$ (or just $\mathbb{P}, MAC(\mathbb{P}) \in \mathbf{K}[S]$ and $\{X \in Y_1 : X \cap (MAC(\mathbb{P})) | \text{ is countable}\} \in = Y_* \text{ mod } J \text{ where } MAC(\mathbb{P}) \text{ is the set of maximal antichains of } \mathbb{P}) \text{ and let } J^{\mathbb{P}} \text{ be the normal fine ideal which } J \text{ generates in } \mathbf{V}^{\mathbb{P}}.$
- (1) F-positiveness is preserved; i.e. if $X \in \mathbf{K}[S], X \subseteq Y_1, F \in \text{FIL}$ and $\mathbf{V} \models \text{``}X \neq \emptyset \mod F\text{'''}$ then $\Vdash_{\mathbb{P}} \text{``}X \neq \emptyset \mod F^{\mathbb{P}}$.
- (2) Moreover, if $\mathbb{Q} \lessdot \mathbb{P}$, (\mathbb{Q} proper and) \mathbb{P}/\mathbb{Q} is proper <u>then</u> forcing with \mathbb{P}/\mathbb{Q} preserve $F^{\mathbb{Q}}$ -positiveness.

Continuation of the proof of 3.14.

<u>Case 1</u>: $\mathbf{e} = \{e\}$. Here only 3.16(1) is needed and then it is as in the old case.

<u>Case 2</u>: General.

Let
$$\mathscr{P}(\mathscr{Y}/e) = \{A_{\zeta}^e : \zeta < 2^{|\mathscr{Y}/e|}\}.$$

Now we describe a winning strategy for the second player. In the side we choose also (p_n, Γ_n, f_n) , $\bar{\gamma}^n$, W_n such that (where e_n , A_n are chosen by the second player):

- $(A)(i) \ \mathbb{P}_n = \prod_{\ell \leq n} \mathbb{Q}_{\ell} \text{ where } \mathbb{Q}_{\ell} \text{ is Levy}(\aleph_1, \mathscr{Y}/e_n)$ (we could use iterations, too, here it does not matter).
 - (ii) $p_n \in \mathbb{P}_n$
 - (iii) p_n increasing in n
 - (iv) f_n is a \mathbb{P}_n -name of a function from ω_1 to \mathscr{Y}/e_n
 - $(v) p_n \Vdash_{\mathbb{P}_n} "f_n(i) \in \mathscr{Y}_i/e_n"$
 - (vi) $p_{n+1} \Vdash$ " $f_{n+1}(i) \leq f_n(i)$ for every $i < \omega_1$ ",
 - (vii) f_n is given naturally it can be interpreted as the generic object of \mathbb{Q}_n except trivialities.
- (B)(i) $\bar{\gamma}^n, \bar{g}^n$ have the same domain, $\gamma_n^n < \mu$
 - (ii) $p_n \Vdash_{\mathbb{P}_n} "\tilde{W}_n \subseteq Y_D, \ \tilde{W}_{n+1} \subseteq \tilde{W}_n"$
 - (iii) $\bar{\gamma}^n = \bar{\gamma}^{n+1} \upharpoonright \text{Dom}(\bar{\gamma}^n), \text{Dom}(\bar{\gamma}^n) = \text{Dom}(\bar{g}^n) \text{ and } \bar{\gamma}^n \text{ is } \triangleleft \text{-decreasing}$
 - $\begin{array}{l} (iv) \ \ p_n \Vdash_{\mathbb{P}_n} \text{``}\{X \in Y_D: \ \text{for } \ell \in \{0,...,n\}, \underbrace{f_\ell(X \cap \omega_1)} \in A_\ell \ \text{and} \ \bigwedge_{\eta \in \ \text{Dom}(\bar{g}^n)} \hat{g}_\eta(X) = \\ \gamma_\eta \ \text{and for } \ell \in \{-1,0,...,n-1\}, \zeta \in X \cap 2^{|\mathscr{Y}/e_\ell|} \ \text{we have:} \\ A_\zeta^{e_\ell} \in D_\ell \Rightarrow \underbrace{f_\ell(X \cap \omega_1)} \in A_\zeta^{e_\ell}\} \supseteq \underbrace{W}_n \neq \emptyset \ \text{mod} \ F^{\mathbb{P}_n} \text{''} \end{array}$
 - $(v) \ \bar{g}^n = \bar{g}^{n+1} \upharpoonright \ \mathrm{Dom}(\bar{g}^n) \ [\mathrm{difference}]$
- $(C)(i) \ D_n = \{ Z \subseteq \mathscr{Y}/e_n : p_n \Vdash_{\mathbb{P}_n} "\{X \in J_D : f_n(X \cap \omega_1) \notin Z\} = \emptyset \text{ mod } (D_n^{\mathbb{P}_n} + W_n)" \}$
 - (ii) \bar{g}^n is D_n -decreasing. [Saharon: diff]

Note that $D_n \in \mathbf{K}[S]$, so every initial segment of the play (in which the second player uses this strategy) belongs to $\mathbf{K}[S]$.

By
$$(B)(iii)$$
 this is a winning strategy.

 $\square_{3.14}$

³For the forcing notions actually used below by the homogeneity of the forcing notion the value of p_n is immaterial

Recall all normal filters on \mathcal{Y}/e belong to $\mathrm{FIL}(e)$.

Alternate: We split the proof to a series of claims and definitions.

- **3.16 Definition.** 1) $W_* = \{u \subseteq \mu : \text{otp}(u) \ge f^*(u \cap w_1) \text{ and } u \cap \lambda \text{ is countable}\}.$
- 2) Let J be the following ideal on Y_0 :

 $W \in J$ iff for some model M on μ with countable vocabulary (with Skolem function) we have

$$W_* \supseteq W \subseteq \{w \in W_* : w = c\ell_M(w)\}.$$

- 3) For $g \in \prod_{i < \kappa} (f(i) + 1)$ let \hat{g} be the function with domain Y_* and $\hat{g}(A)$ is the g(i)-the member of A.
- 4) For $W \in J^+$ let $\text{proj}(W) = \{ A \subseteq w_1 : \{ w \in W : w \cap w_1 \notin A \} \in J \}.$
- 3.17 Fact. 1) $Y_* \notin J$.
- 2) J is a fine normal filter on W_* (and $W_* \notin J$) in fact the ideal of non-stationary subsets of W_* .
- 3) $Y_{\bar{A}} \in J^+$ if $\bar{A} = \langle A_i : i < 0 \rangle, 2^{\aleph_1}$ list the subset of some normal filter D on ω_1 (see 3.23's proof.
- 4) If \bar{A}', \bar{A}'' list the same normal filter on w_1 then $Y_{\bar{A}'} = Y_{\bar{A}'} \mod J$.
- 5) For $g \in \prod_{i < \omega} (f^*(i) + 1), \hat{g}$ is well defined, is a choice function of Y_* .
- 6) If $g_1 <_D g_2$ then $\hat{g}_1 \upharpoonright J_D < \hat{g}_2 \upharpoonright J_D \mod J + Y_*$.

Proof. 1) As μ is a Ramsey cardinal $> \lambda_0$.

- 2) By the definitions.
- 3) Easy.
- **3.18 Claim.** Assume \mathbb{Q} is an \aleph_1 -complete forcing notion with $\leq \lambda_0$ maximal antichains.
- 1) Forcing with \mathbb{Q} preserves all our assumptions:
 - (a) μ is a Ramsey cardinal⁺
 - (b) W_* is a family of subsets of μ such that $otp(w) \geq f(w \cap \omega_1)$ and J, defined above, is a fine normal ideal on Y_* satisfying 3.17(3)...then we can forget (a).

2) Forcing with \mathbb{Q} preserves " $y \in J^+$ " (i.e. if $W \in J^+$ then $\Vdash_{\mathbb{Q}}$ " $W \in J^+$ ".

Proof. Easy, fill.

- **3.19 Definition.** Assume $e \in \mathbf{e_n}$ and $D \in \mathrm{FIL}_{\mathbf{n}}(e)$.
- 1) $\mathbb{Q} = \mathbb{Q}_e = \{f : f \text{ is a function with domain a countable ordinal such that } i \in \text{Dom}(f) \Rightarrow f(i) \in \mathscr{Y}_i^{\mathbf{n}}\}.$
- 2) f_e is the \mathbb{Q} -name $\cup \{f : f \in \mathcal{G}_{\mathbb{Q}_e}\}.$
- 3) Let D/f_e be the \mathbb{Q}_e -name of $\{A \subseteq \omega_1 : \text{ for every } B \in D \text{ for stationarily many } i < \omega_1, f_e(i) \in B\}$ and $\text{nor}(D, f_e)$ the normal filter which D/f_e generates.
- 4) For $W \in J^+$ let $\mathrm{lift}(W,D) = \{A \subseteq \mathscr{Y}/e \text{ for some } B \in D : \Vdash_{\mathbb{Q}_e} "\{w \in W : f_e(w \cap \omega_1) \in B \setminus A \in J" \text{ (note that we have enough homogeneity for } \mathbb{Q}_e.$
- **3.20 Claim.** Assume $e \in \mathbf{e_n}$ and $D \in \mathrm{FIL}_{\mathbf{n}}(e)$.
- 1) $\Vdash_{\mathbb{Q}}$ " D/f_e is a normal filter on ω_1 ", (i.e. $w_1 \notin D$).
- 2) $|\mathbb{Q}_e| \leq |\mathscr{Y}^{\mathbf{n}}/e|^{\aleph_0}$ so $Z^{|\mathbb{Q}_e|} \leq \lambda_0$ hence \mathbb{Q}_e has $\leq \lambda_0$ maximal antichains; in fact, equality holds as we have demand $|\mathscr{Y}/e| = |\cup \{\mathscr{Y}_i : i \in [i_0, \omega_1)\}/e|$ for every $e \in \mathbf{e}$. 3) Combine scite 3.2A(4) + 3.19 FILL.
- **3.21 Definition.** 1) We say that $\mathfrak{x} = (e, D, \bar{g}, \bar{\alpha}, f, W)$ is a good position (in the content of proving 3.14) if
 - $(a) e \in \mathbf{e_n}$
 - (b) $D \in \operatorname{FIL}_{\mathbf{n}}(e)$
 - (c) $\bar{g} = \langle g_n : \eta \in u \rangle \in \operatorname{Fc}(\mathscr{T}, e)$, so $u = u^{\mathfrak{p}}$
 - $(d) \ \bar{\alpha} = \langle \alpha_{\eta} : \eta \in u \rangle, \alpha_{\eta} < \mu$
 - (e) $p \in \mathbb{Q}_e$
 - (f) $W = \{ w \in W^* : \hat{g}_{\eta}(w) = \alpha_{\eta} \text{ for } \eta \in u \} \in J^+$
 - (g) $p \Vdash_{\mathbb{Q}_e} "W^{\mathfrak{x}} \cap W_{D,f_e} \in J^+$ " and $\operatorname{proj}(W^{\mathfrak{x}} \cap W_{D,f_e}) = D \operatorname{nor}(D,f_e)$ [FILL].
- 3.22 Observation. 1) If $\mathfrak{x}=(e,D,\bar{g},\bar{\alpha},p,\underline{W})$ is a good position then
 - (a) $\bar{\alpha}$ is decreasing
 - (b) $D_{\underline{W}}$.

3.23 Claim. If $e \in \mathbf{e_n}$, $D \in \mathrm{FIL}_{\mathbf{n}}(e)$ and $\bar{g} = \langle g_{\eta} : \eta \in u \rangle \in \mathrm{Fc}(\mathscr{T}, e)$ and $g_{\eta} \leq f[e]$ for every $\eta \in Dom(\bar{g})$ then we can find a good position \mathfrak{x} with $\bar{g}^{\mathfrak{x}} = e^{\mathfrak{x}} = e, \bar{g}^{\mathfrak{x}} = g$ and $D \subseteq D^{\mathfrak{x}}$.

Proof. Let $\mathbf{G} \in \mathbb{Q}_e$ be generic over \mathbf{V} and $f_e = f_e[G]$. So in $\mathbf{V}[\mathbf{G}]$ the set $W_{D,f_e[\mathbf{G}]}$ belongs to J^+ (by 3.17(3)), i.e., let $\langle A_{\zeta}^{D_1} : \zeta < \zeta^* \rangle$ list D_1 and $W, D, f_e = \{w \in W : \text{if } \zeta \in w \cap \zeta^* \text{ then } f_e(i) = f_e[\mathbf{G}](i) \in A_{\zeta}\}.$

Also \hat{g}_{η} defined in 3.16(3) is a choice function on W_{D,f_e} (see 3.17(4)), so as J is a normal ideal and u finite, we can find $\bar{\alpha} = \langle \alpha_{\eta} : \eta \in u \rangle$ such that $W = \{w \in W_{D,f_e} : \hat{g}_{\eta}(w) = \alpha_{\eta} \text{ for } \eta \in u\}$ belongs to J^+ . As all this holds in $\mathbf{V}[\mathbf{G}]$. So $\bar{\alpha}$ there is a condition $p \in \mathbb{Q}_e$ which forces this, and we are done.

3.24 Claim. Assume that

- (a) $\mathfrak{x}_1 = (e_1, D_1, \bar{g}_1, \bar{\alpha}_1, p, W_1)$ is a good position
- (b) $\bar{g}_2 = \langle g_n^2 : \eta \in u_2 \rangle \in \operatorname{Fc}(\mathscr{T}, \mathbf{n}) \text{ and } \bar{g}_2 \upharpoonright u_1 = \bar{g}_2$
- (c) $e_1 \leq e_2$ in \mathbf{e}_n and $D_2 \in FIL_{\mathbf{n}}(e_2)$ or just $\mathscr{A} \subseteq \mathscr{P}(\mathscr{Y}_{\mathbf{n}}/e_2), \mathscr{A} = \{A_\zeta : \zeta < \zeta^*\}$
- (d) $p_1 \Vdash_{\mathbb{Q}_{e_1}}$ " $\{w \in W_1 : \mathscr{Y}_{w \cap w_1} \nsubseteq \cup \{A_\zeta : \zeta \in \zeta^* \cap w\}\}$ does not belong to $J^{\mathbf{V}[\mathbb{Q}_{e_1}]}$ ".

<u>Then</u> we can find a good position \mathfrak{x}_2 such that $e^{\mathfrak{x}_2} = e_2, \bar{g}^{\mathfrak{x}_2} = \bar{g}^2$ and $D_2 \subseteq D^{\mathfrak{x}_2}$.

Proof. Let **G** be a subset of $\mathbb{Q}_{e_1[\mathfrak{x}_1]}$ generic over **V** such that $p^{\mathfrak{x}_1} \in \mathbf{G}_1$. Now \mathbb{Q}_{e_2} is an \aleph_1 -complete forcing of cardinality $\leq |\mathscr{Y}_{\mathbf{n}}/e_2|^{\aleph_0} \leq \lambda_0$ and \mathbb{Q}_{e_1} is \aleph_1 -complete $|\mathbb{Q}_{e_1}| \leq |\mathscr{Y}_{\mathbf{n}}/e_1|^{\aleph_0} \leq |\mathscr{Y}_{\mathbf{n}}/e_2|^{\aleph_0} \leq \lambda_0$, so \mathbb{Q}_{e_2} satisfies the same conditions in $\mathbf{V}[\mathbf{G}_1]$ (if λ_0 is no longer a cardinal it does not matter).

Note that by assumption (c)

 \circledast in $\mathbf{V}[\mathbf{G}_1], \mathbb{Q}_{e_2} \Vdash$ "the set $\{W_2^1 =: \{w \in W_1[\mathbf{G}_1]: \text{ the set } ((f_{e_1}[\mathbf{G}_1])(w \cap \omega_1))^{[e_2]} \in \mathscr{Y}_{w \cap \omega_1}/e_2 \text{ is not included in } \cup \{A_\zeta : \zeta \in w\}\}$ is stationary (i.e. $\notin J$)".

We continue as in the previous claim.

- **3.25 Claim.** If clauses (a) + (b) of 3.23 holds, then a sufficient condition for clause (c) is
 (c)' FILL.
- 3.26 Proof of 3.14. During the play, the player II chooses also a good position \mathfrak{x}_n and maintains $\bar{g}^{\mathfrak{x}_n} = \bar{g}_n$, $\bar{\alpha}^{\mathfrak{x}_n} = \bar{\alpha}$.
- 3.27 Remark. 1) From the proof, instead $\mathbf{K}[S] \models \text{``}\lambda$ is Ramsey'', $\mathbf{K}[S] \models \text{``}\mu \rightarrow (\alpha)_{\lambda_0}^{<\omega}$ for $\alpha < \lambda_0$ '' is enough for showing for 3.14.
- 2) Also if $\prod_{i<\omega_1}(|f(i)|+1)<\mu_0, [\alpha<\mu_0\Rightarrow |\alpha|^{\aleph_0}<\mu_0]$, it is enough: $S\subseteq\alpha<\mu_0\Rightarrow$ in $\mathbf{K}[S]$ there is $\mu\to(\alpha)_2^{<\omega}$.
- **3.28 Theorem.** Assume \mathbf{n} is a κ -niceness context. Let $D^* \in FIL(e, \mathscr{Y})$ be a normal ideal on $\mathscr{Y}_{\mathbf{n}}/e$. If for every $f : \mathscr{Y} \to (\sup\{\operatorname{Suc}(D') : D' \in \operatorname{FIL}_{\mathbf{n}}\})^+$ supported by some $e \in \mathbf{e_n}$. $D^*_{\mathbf{n}}$ is nice to f, then for every $f \in {}^{\kappa}\operatorname{Ord}$, \mathbf{n} is nice to f.

Proof. By determinacy of the games (and the LS argument).

- 3.29 Remark. 0) The value $|FIL_{\bf e}|$ really should be an upper bound.
- 1) So, the existence of $\mu, \mu \to (\alpha)_{\aleph_0}^{<\omega}$ for every $\alpha < (\sum_{\chi < \mu} \chi^{\kappa})^+$, is enough for " D^* is nice".
- 2) If there is a nice D's in the plays from 3.7, the second player winning strategy can be chosen such that all subsequent filters are nice: just by renaming have $g_{<>}$ constant large enough. [Saharon: diff]
- **3.30 Claim.** In claim 3.14 we can omit " $\kappa_{\mathbf{n}} = \aleph_1$ ".

Proof. Let $\mathbb{P} = \text{Levy}(\aleph_0, \kappa_{\mathbf{n}})$. Now

(*) also in $\mathbf{V}^{\mathbb{P}}$ the object \mathbf{n} is a successor content, if we do not distinguish between $D \in \mathrm{FIL}_{\mathbf{n}}$ and $\{A \in \mathbf{V}^{\mathbb{P}} : A \subseteq \mathscr{Y}/e(D) \text{ and } (\exists B \in D)(B \subseteq A)\}.$

3.31 Conclusion.: Let $\lambda_0 = (\sup\{|\operatorname{Suc}_{\mathbf{n}}(D')| : D' \in \operatorname{FIL}_{\mathbf{n}}\})^+ \cup \{2^{|\mathscr{Y}/e|^{<\kappa}} : e \in \mathbf{e_n}\})^+, \mu^* \geq \aleph_2$; if for every $S \subseteq \lambda_0$ there is a Ramsey cardinal in $\mathbf{K}[S]$ above λ_0 then \mathbf{n} is nice.

Proof. By 3.14, 3.28.

3.32 Concluding Remark. 1) We could have used other forcing notions, not Levy(κ , $|\mathscr{Y}/e_n|$). E.q., if $\kappa = \aleph_1, \mu = \kappa^+$ we could use finite iterations of the forcing of Baumgartner to add a club of ω_1 , by finite conditions. (So this forcing notion has cardinality \aleph_1). Then in 3.14 we can weaken the demands on $\lambda_0 : \lambda_0 = \sum_{\chi < \mu_0} 2^{\chi} + \prod_{i < \omega_1} |1 + f(i)| + |\mathbf{e}|$,

hence also in 3.31, $\lambda_0 = \sum_{\chi < \mu_*} 2^{\chi}$ is O.K.

- 2) Concerning $|\mathbf{e}|$ remember 3.11(5),(6).
- 3) Similarly to (1). If $\theta < \mu \Rightarrow \text{cov}(\theta, \aleph_1, \aleph_1, 2) < \mu$ then by 2.6 we can use forcing notions of Todorcevic for collapsing $\theta < \mu$ which has cardinality $< \mu$.
- 4) If we want to have $\lambda_0 =: \prod_{i < \omega_1} |f(i) + 2|$ (or even $T_D(f+2)$), we can get this

by weakening further the first player letting him choose only A_n which are easily definable from the \bar{g}^{n-1} , we shall return to it in a subsequent paper.

§4 Ranks

- 4.1 Convention. 1) Like 3.2 and:
- 2) $\bar{g}^* \in F_c(\mathscr{T}, e^*, \mathscr{Y}), \eta^* \in \text{Dom}(\bar{g}^*), \nu^*$ an immediate successor of η^* not in Dom $g^*, D^* \in \text{FIL}(e^*, \mathscr{Y})$ is such that in $\bar{\partial}^{\bar{\gamma}^*}(D^*, \bar{g}^*, e^*)$ second player wins (all constant for this section). FIL*(e) will be the set of $D \in \text{FIL}(e, \mathscr{Y})$ such that $e \geq e^*$, $(D^*)^{[e]} \subseteq D$ and in $\bar{\partial}^{\bar{\gamma}^*}(D^*, \bar{g}^*, e^*)$ second player wins. (So actually FIL(e^*, \mathscr{Y}) depends on D^*, \bar{g}^*, e^* , too).
- **4.2 Definition.** 1) $\operatorname{rk}_D^5(f)$ for $D \in \operatorname{FIL}^*(e, \mathscr{Y}), f \in \mathscr{Y}^{/e}\operatorname{Ord}, f <_D \bar{g}_{\eta^*}^*$ will be: the minimal ordinal α such that for some $D_1, e_1, \bar{\gamma}^1$ we have $D^{[e_1]} \subseteq D_1 \in \operatorname{FIL}(e_1, \mathscr{Y}), \bar{\gamma}^1 = \bar{\gamma}^* \hat{\gamma} \langle \nu^*, \alpha \rangle$ (i.e. $\operatorname{dom}(\bar{\gamma}^1) = (\operatorname{dom}(\bar{\gamma}^*)) \cup \{\nu^*\}, \bar{\gamma}^1 \upharpoonright \operatorname{dom}(\bar{\gamma}^*) = \bar{\gamma}^*, \gamma_{\nu^*}^1 = \alpha$) and in $\partial^{\bar{\gamma}^1}(D, \bar{g}^* \hat{\gamma} < \nu^*, f >)$ second player wins and ∞ if there is no such α . 2) $\operatorname{rk}_D^4(f)$ is $\sup\{\operatorname{rk}_{D+A}^5(f): A \in D^+\}$.
- **4.3 Claim.** 1) $\operatorname{rk}_D^5(f)$ is (under the circumstances of 4.1, 4.2) an ordinal $<\gamma_{\eta^*}^*$. 2) $\operatorname{rk}_D^4(f)$ is an ordinal $\leq \gamma_{\eta^*}^*$.
- **4.4 Claim.** If $D \in \mathrm{FIL}^*(e, \mathscr{Y}), h <_D f <_D g_{\eta^*}^*$ then $\mathrm{rk}_D^5(h) < \mathrm{rk}_D^5(f)$.

Proof. Let e_1, D_1 witness $\operatorname{rk}_D^5(f) = \alpha$ so $e(D) \leq e_1, D \subseteq D_1 \in \operatorname{FIL}^*(e_1)$ and in $G^{\bar{\gamma}^{\hat{\gamma}} < \nu^*, \alpha >}(D_1, \bar{g}^{*\hat{\gamma}} < \nu^*, f >, e)$ second player wins. We play for the first player: $e = e_1, A_0 = \mathscr{Y}/e_1, \bar{g}^0 = \bar{g}^{*\hat{\gamma}} \langle \nu^*, f \rangle^{\hat{\gamma}} \langle \nu^*,$

4.5 Claim. Let $e \geq e^*, D \in FIL^*(e, \mathscr{Y})$.

1) For $e \ge e(D)$, $A \in (D^{[e]^+}, f \in \mathscr{Y}/e \text{Ord}, f <_D g_{\eta^*}^*$ we have:

$$\operatorname{rk}_D^5(f) \leq \operatorname{rk}_{D^{[e]}+A}^5(f) \leq \operatorname{rk}_{D^{[e]}+A}^4(f) \leq \operatorname{rk}_D^4(f).$$

2) If $e_2 \geq e_1 \geq e(D)$, $f_{\ell} \in {}^{\mathscr{Y}}\text{Ord}$ is supported by e_{ℓ} , $f_1 \leq_D f_2 <_D g_{\eta^*}^*$ then $\operatorname{rk}_D^{\ell}(f_1) \leq \operatorname{rk}_D^{\ell}(f_2)$ for $\ell = 4, 5$.

Proof. Left to the reader.

§5 More on Ranks and Higher Objects

5.1 Convention.

- (a) μ^* is a cardinal $> \aleph_1$ (using \aleph_1 rather than an uncountable regular κ is to save parameters)
- (b) \mathscr{Y} a set of cardinality $\sum_{\kappa < \mu_*} \kappa$
- (c) ι a function from \mathscr{Y} onto ω_1 , $|\iota^{-1}(\{\alpha\})| = |\mathscr{Y}|$ for $\alpha < \omega$,
- (d) Eq the set of equivalence relation e on \mathcal{Y} such that:
 - (α) $yez \Rightarrow \iota(y) = \iota(z)$
 - (β) each equivalence class has cardinality $|\mathscr{Y}|$
 - (γ) e has $<\mu^*$ equivalence classes
- (e) D denotes a normal filter on some $\mathscr{Y}/e(e \in \text{Eq})$, we write e = e(D). The set of such D's is $\text{FIL}(\mathscr{Y})$.
- (f) E denotes a set of D's as above, such that:
 - (α) for some $D = \text{Min } E \in E \ (\forall D')[D' \in E \Rightarrow (e, D) \leq (e(D'), D')]$
 - (β) if $D \in E$, $A \subseteq \mathscr{Y}/e_1, e_1 \ge e(D), A \ne \emptyset \mod D$ then $D^{[e_1]} + A \in E$
- $(g) E^{[e]} =: \{D \in E : e(D) = e\}$
- (h) $\mathscr E$ denotes a set of E's as above, such that:
 - (a) there is $E = \text{Min } \mathscr{E} \in \mathscr{E} \text{ satisfying } (\forall E')(E' \in E \Rightarrow E' \subseteq E)$
 - $(\beta) \quad \text{if } D \in E \in \mathscr{E} \text{ then } E_{[D]} = \{D' : D' \in E \text{ and } (e(D), D) \leq (e(D'), D')\} \in \mathscr{E}.$
- **5.2 Definition.** 1) We say E is λ -divisible when: for every $D \in E$, and Z, a set of cardinality $< \lambda$ there is D's such that:
 - $(\alpha) \ D' \in E$
 - $(\beta) \ (e(D), D) \le (e(D'), D')$
 - (γ) $\mathbf{j}: \mathscr{Y}/e(D') \to Z$
 - (δ) for every function $h: \mathscr{Y}/e(D) \to Z$ we have $\{y/e(D'): h(y/e(D)) = (y/e(D'))\} \neq \emptyset \mod D'$.

- 2) We say E has λ -sums when: for every $D \in E \in \mathscr{E}$ and sequence $\langle Z_{\zeta} : \zeta < \zeta^* < \lambda \rangle$ of subsets of $\mathscr{Y}/e(D)$ there is $Z^* \subseteq \mathscr{Y}/(e/(D))$, such that: $Z^* \cap Z_{\zeta} = \emptyset \mod D$ and: [if $(e(D), D) \leq (e', D'), e' = e(D'), D' \in E_{[D]}$ and $\bigwedge_{\zeta} Z_{\zeta}^{[e']} = \emptyset \mod D'$ then $Z^* \in D'$].
- 3) We say E has weak λ -sum if for every $D \in E(\in \mathscr{E})$ and sequence $\langle Z_{\zeta} : \zeta < \zeta^* < \lambda \rangle$ of subsets of $\mathscr{Y}/e(D)$ there is D^* , $D^* \in E_{[D]}$ such that:
 - (α) if $(e(D), D) \leq (e', D'), D' \in E_{[D]}$ and $Z_{\zeta} = \emptyset \mod D'$ for $\zeta < \zeta^*$ and $e(D^*) \leq e(D')$ then $D^* \subseteq D'$ (more exactly $D^{*^{[*]}} \subseteq D^{[*]}$ and)
 - (β) $Z_{\zeta} = \emptyset \mod D^* \text{ for } \zeta < \zeta^*.$
- 4) If $\lambda = \mu^*$ we omit it. We say $\mathscr E$ is λ -divisible if every $E \in \mathscr E$ has. We say $\mathscr E$ has weak λ -sums if: [rest diff] for every $E \in \mathscr E$ and sequence $\langle Z_{\zeta} : \zeta < \zeta^* < \lambda \rangle$ of subsets of $\mathscr Y/e(E)$ there is E^* , $E^* \in \mathscr E_{[E]}$ such that:
 - (a) if $(e(E), E) \leq (e', E')$, $E' \in \mathcal{E}$ and $Z_{\zeta} = \emptyset \mod \operatorname{Min}(E')$ for $\zeta < \zeta^*$ and $e(E^*) \leq e(E')$ then $E^* \subseteq E'$
 - (β) $Z_{\zeta} = \emptyset \mod \operatorname{Min}(E^*)$ for $\zeta < \zeta^*$.

We now define variants of the games from §3.

- **5.3 Definition.** For a given \mathscr{E} , for every $E \in \mathscr{E}$:
- 1) We define a game $G_2^*(E,\bar{g})$. In the n-th move first player chooses $D_n \in E_{n-1}$ (stipulating $E_{-1} = E$) and choose $\bar{g}_n \in F_c({}^{\omega}\omega, e(D_n), \mathscr{Y})$ extending \bar{g}_{n-1} (stipulating $\bar{g}_{-1} = \bar{g}$) such that \bar{g}_n is D_n -decreasing. Then the second player chooses E_n , $(E_{n-1})_{[D_n]} \subseteq E_n \in \mathscr{E}$.

In the end the second player wins if $\bigcup_{n \in \mathbb{N}} \operatorname{Dom} \bar{g}_n$ has no infinite branch.

- 2) We define a game $G_2^{\bar{\gamma}}(E,\bar{g})$ where $\mathrm{Dom}(\bar{\gamma})=\mathrm{Dom}(\bar{g})$, each γ_{η} an ordinal, $[\eta \triangleleft \nu \Rightarrow \gamma_{\eta} > \gamma_{\nu}]$ similarly to $G_2^*(D,\bar{g})$ but the second player in addition chooses an indexed set $\bar{\gamma}_n$ of ordinals, $\mathrm{Dom}(\bar{\gamma}_n)=\mathrm{Dom}(\bar{g}_n)$, $\bar{\gamma}_n \upharpoonright \mathrm{Dom}(\bar{\gamma}_{n-1})=\bar{\gamma}_{n-1}$ and $[\eta \triangleleft \nu \Rightarrow \gamma_{n,\eta} > \gamma_{n,\nu}]$.
- **5.4 Definition.** 1) We say \mathscr{E} is nice to $\bar{g} \in F_c(\mathscr{T}, e, \mathscr{Y})$ if for every $E \in \mathscr{E}$ with $e \leq e(E)$ the second player wins the game $\partial_2^*(E, \bar{g})$.
- 2) We say \mathscr{E} is nice if it is nice to \bar{g} whenever $E \in \mathscr{E}$, $e \leq e(E)$, $\bar{g} \in F_c(\mathscr{T}, e)$, \bar{g} is (Min E)-decreasing, we have: $\mathscr{E}_{[E]}$ is nice to \bar{g} .
- 3) If $Dom(\bar{g}) = \{ \langle \rangle \}$ we write $g_{\langle \rangle}$ instead \bar{g} .
- 4) We say \mathscr{E} is nice to α if it is nice to the constant function α .

- **5.5 Claim.** 1) If \mathscr{E} is nice to f, $f \in F_c(\mathscr{T}, e, \mathscr{Y}), g \in F_c(\mathscr{T}, e, \mathscr{Y}), g \leq f$ then \mathscr{E} is nice to f.
- 2) The games from 5.4 are determined, and the winning side has winning strategy which does not need memory.
- 3) The second player wins $G_2^*(E,\bar{g})$ iff for some $\bar{\gamma}$ second player wins $G_2^{\bar{\gamma}}(E,g)$.
- 4) If the second player wins $G_2^{\gamma}(E, f)$, $\bar{g} \in F_c(\mathscr{T}, e(E))g_{\eta} \leq f$ for $\eta \in \text{Dom}(\bar{g})$ then the second player wins in $G_2^{\bar{\gamma}}(E, \bar{g})$ when we let

$$\gamma_{\eta} = \gamma + \max\{(\ell g(\nu) - \ell g(\eta) + 1) : \nu \text{ satisfies } \eta \leq \nu \in \text{Dom}(\bar{g})\}.$$

- **5.6 Lemma.** Suppose $f_0 \in {}^{(\mathscr{Y}/e)}Ord, e \in Eq \ and \ \lambda_0 =: \sup\{\prod_{x \in Y} \mathscr{Y}_e(f_0^{[e]}(x) + 1 : e \text{ satisfies } e_0 \leq e \in \mathbf{e}\}.$
- 1) If there is a Ramsey cardinal $\geq \cup \{f(x) + 1 : x \in \text{Dom}(f_0)\}$ then there is a μ^* -divisible \mathscr{E} nice to f_0 having weak μ^* -sums.
- 2) If for every $A \subseteq \lambda_0$ there is in $\mathbf{K}[A_0]$ a Ramsey cardinal $> \lambda_0$, then there is a μ^* -divisible \mathscr{E} which has weak μ^* -sums and is nice to f.
- 3) In part 2 if $\lambda_0 = 2^{<\mu_0}$ then there is a μ^* -divisible nice $\mathscr E$ which has weak μ^* -sums.
- 5.7 Remark. This enables us to pass from " $pp_{\Gamma(\theta,\aleph_1)}$ large" to " pp_{normal} is large".

Proof. 1) Define $f_1 \in {}^{(\aleph_1)}\mathrm{Ord}, f_1(i) = \sup\{f_0(y/e) : \iota(y) = i\}$, let λ be such that: $\lambda \to (\sup\{f_1(i)\}_2^{<\omega} : i < \aleph_1\}$ (or just $\emptyset \notin D_n^*$ - see below) let $\lambda_n = (\lambda^{\mu^*})^{+n}$,

$$I_n = \{s : s \subseteq \lambda_n, s \cap \omega_1 \text{ a countable ordinal}\}\$$

$$J_n = \{ s \in I_n : s \cap \lambda \text{ has order type } \geq f_0(s \cap \omega_1) \}.$$

Let D_n^* be the minimal fine normal filter on J_n .

Let for $n < \omega$ and $e \in \text{Eq}$, $H_{n,e} = \{h : h \text{ a function from } J_n \text{ into } \mathscr{Y}/e \text{ such that } \iota(h(s)) = s \cap \omega_1\}.$

Let
$$\mathbb{P}_n = \{p : p \subseteq J_n, p \neq \emptyset \text{ mod } D_n^*\}, \mathbb{P} = \bigcup_{n < \omega} \mathbb{P}_n \text{ and for } p \in \mathbb{P} \text{ let } n(p) \text{ be the } p \in \mathbb{P} \text{ let } n(p) \text{ let } n($$

unique n such that $p \in \mathbb{P}_n$.

Let $p \leq q$ (in \mathbb{P}) if $n(p) \leq n(q)$ and $\{s \cap \lambda_{n(p)} : s \in q\} \subseteq p$. Now for every $e \in \text{Eq}, n < \omega, p \in P_n, h \in H_{n,e}$ we let:

$$D^{n,e,h}_p = \{A \subseteq \mathscr{Y}/e : h^{-1}(A) \supseteq p \text{ mod } D^*_{n(p)}\}$$

$$E_p^{n,e,h} = \{D_q^{n^1,e^1,h^1} : p \le q \in P, n^1 = n(q) \text{ and } (n^1,e^1,h^1) \ge (n,e,h)\}$$

where $(n^1, e^1, h^1) \ge (n, e, h)$ means: $n \le n^1 < \omega, e \le e^1 \in \text{Eq}, h^1 \in H_{n^1, e^1}$ and for $s \in J_{(n^1)}, h^1(s)^{[e]} = h(s \cap \lambda_n)$ and we define $(p^1, n^1, e^1, h^1) \ge (p, n, e, h)$ similarly. Let

$$\mathscr{E}_p^{n,e,h} = \{E_q^{n^1,e^1,h^1} : p \le q \in P, n^1 = n(q), (n^1,e^1,h^1) \ge (n,e,h)\}.$$

Note: $(p^1, n^1, e^1, h^1) \geq (p, e, n, h)$ implies $D_{p^1}^{n^1, e^1, h^1} \supseteq D_p^{n, e, h}, E_{p^1}^{n^1, e^1, h^1} \subseteq E_p^{n, e, h}$ and $\mathcal{E}_{p^1}^{n^1, e^1, h^1} \subseteq \mathcal{E}_p^{n, e, h}$. Now any $\mathcal{E} = \mathcal{E}_p^{n, e, h}(p \in P)$ is as required.

A new point is " $\mathscr E$ is μ^* -divisible". So suppose $E \in \mathscr E = \mathscr E_p^{n,e,h}$ so $E = E_q^{n^1,e^1,h^1}$ for some $(q,n^1,e^1,h^1) \geq (p,n,e,h)$. Let Z be a set of cardinality $< \mu^*$, so $(\lambda_{n^1})^{|Z|} = \lambda_{n_1}$; let $\{h_\zeta : \zeta < \zeta^* = |\mathscr Y/e_1|^{|Z|} \leq 2^\mu \leq \lambda_{n^1}\}$ list all function h from $\mathscr Y/e_1$ to Z. Let $\langle S_\zeta : \zeta < |\mathscr Y/e_1|^{|Z|} \rangle$ list a sequence of pairwise disjoint stationary subsets of $\{\delta < \lambda_{n^1+1} : \operatorname{cf}(\delta) = \aleph_0\}$. Let $e_2 \in E_q$ be such that $e_1 \leq e_2$ and for every $g \in \mathscr Y$, $\{z/e_2 : ze_1y\} = \{x(y/e,t) : t \in Z\}$, we let $q_2, q \leq q_2 \in P$ be: $q_2 = \{s \in J_{n^1+1} : s \cap \lambda_{n^1} \in q \text{ and sup } s \in \bigcup_{\zeta} S_\zeta\}$, lastly we define $h^2 : J_{n^1+1} \to \mathscr Y/e_1$ by:

 $h^2(s) = x(h^1(s \cap \lambda_{n^1}), h_{\zeta}(s \cap \lambda_{n^1}))$ if $s \in q_2$, sup $s \in S_{\zeta}$ (for $s \in J_{n^1+1} \setminus q_2$ it does not matter). The proof that q_2, e_2, h^2 are as required is as in [RuSh 117] and more specifically [Sh 212]. As for proving " $\mathscr{E}_p^{n,e,h}$ has weak μ^* -sums" the point is that the family of fine normal filters on μ has μ^* -sum.

- 2) Similar to 3.14(and 3.11(5),(6)).
- 3) Similar to [Sh 386, 1.7].

 $\Box_{5.6}$

§6 Hypotheses: Weakening of GCH

We define some hypotheses; except the first we do not know now whether their negations are consistent with ZFC.

6.1 Definition. We define a series of hypothesis:

- (A) $pp(\lambda) = \lambda^+$ for every singular λ .
- (B) If \mathfrak{a} is a set of regular cardinals, $|\mathfrak{a}| < \min(\mathfrak{a})$ then $|\operatorname{pcf}(\mathfrak{a})| \leq |\mathfrak{a}|$.
- (C) If \mathfrak{a} is a set of regular cardinals, $|\mathfrak{a}| < \min(\mathfrak{a})$ then $pcf(\mathfrak{a})$ has no accumulation point which is inaccessible (i.e. λ inaccessible $\Rightarrow \sup(\lambda \cap pcf(\mathfrak{a}) < \lambda)$.
- (D) For every λ , $\{\mu < \lambda : \mu \text{ singular and pp}(\mu) \geq \lambda\}$ is countable.
- (E) For every λ , $\{\mu < \lambda : \mu \text{ singular and } \mathrm{cf}(\mu) = \aleph_0 \text{ and } \mathrm{pp}(\mu) \geq \lambda\}$ is countable.
- (F) For every λ , $\{\mu < \lambda : \mu \text{ singular of uncountable cofinality, } pp_{\Gamma(cf(\mu))}(\mu) \ge \lambda\}$ is finite.
- $(D)_{\theta,\sigma,\kappa}$ For every λ , $\{\mu < \lambda : \mu > \operatorname{cf}(\mu) \in [\sigma,\theta) \text{ and } \operatorname{pp}_{\Gamma(\theta,\sigma)}(\mu) \geq \lambda\}$ has cardinality $< \kappa$.
- $(A)_{\Gamma}$ If $\mu > \operatorname{cf}(\mu)$ then $\operatorname{pp}_{\Gamma}(\mu) = \mu^{+}$ (or in the definition of $\operatorname{pp}_{\Gamma}(\mu)$ the supremum is on the empty set).
- $(B)_{\Gamma}, (C)_{\Gamma}$ Similar versions (i.e. use pcf_{Γ}).

We concentrate on the parameter free case.

6.2 Claim. : In 6.1, we have:

- $(1) \ (A) \Rightarrow (B) \Rightarrow (C)$
- (2) $(A) \Rightarrow (D) \Rightarrow (E), (A) \Rightarrow (F)$
- (3) $(E) + (F) \Rightarrow (D) \Rightarrow (B)$. [Last implication by the localization theorem [Sh 371, §2]]
- (4) if $(\forall \mu)(\mu > cf(\mu) = \aleph_0$ the hypothesis (A) of 6.1 holds. [Why? By [Sh:g, xx].]

6.3 Theorem. Assume Hypothesis 6.1(A).

1) For every $\lambda > \kappa$,

$$cov(\lambda, \kappa^+, \kappa^+, 2) = \begin{cases} \lambda^+ & \text{if } cf(\lambda) \le \kappa \\ \lambda & \text{if } cf(\lambda) > \kappa. \end{cases}$$

2) For every $\lambda > \kappa = \operatorname{cf}(\kappa) > \aleph_0$, there is a stationary $S \subseteq [\lambda]^{\leq \kappa}, |S| = \lambda^+$ if $\operatorname{cf}(\lambda) \leq \kappa$ and $|S| = \lambda$ if $\operatorname{cf}(\lambda) > \kappa$.

- 3) For μ singular, there is a tree with $cf(\mu)$ levels each level of cardinality $< \mu$, and with $\geq \mu^+(cf(\mu))$ -branches.
- 4) If $\kappa \leq cf(\mu) < \mu \leq 2^{\kappa}$ then there is an entangled linear order $\mathscr T$ of cardinality μ^+ .

Proof. 1) By [Sh 400, §1].

- 2) By part (1) and 2.6.
- 3, 4) By [Sh 355, §4].

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